

Regular black holes

and the first law of BH mechanics

Sebastian Murk



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沖縄科学技術大学院大学

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Regular black holes and the first law of black hole mechanics

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Hyperlink: [arXiv:2304.05421 \[gr-qc\]](https://arxiv.org/abs/2304.05421)



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Ioannis Soranidis



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Setup: semiclassical gravity, spherical symmetry, & dynamical RBHs

Semiclassical gravity:
$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi \langle \hat{T}_{\mu\nu} \rangle_\psi$$



Mann, SM, Terno,

[Int. J. Mod. Phys. D 31, 2230015 \(2022\).](#)

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$c = G = \hbar = k_B = 1$



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Metric functions:

$$C(v,r) = r_+(v) + \sum_{i=1}^{\infty} w_i(v) (r - r_+(v))^i$$

$$h(v,r) = \sum_{i=1}^{\infty} \chi_i(v) (r - r_+(v))^i$$



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Generic dynamical RBHs:

$$f(v,r) = g(v,r) (r - r_-(v))^a (r - r_+(v))^b$$

$$a, b \in \mathbb{N}_{>0} = \{1, 2, \dots\}$$

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At outer horizon $r = r_+$:

$$C(v,r)|_{r=r_+} = r_+(v), \quad h(v,r)|_{r=r_+} = 0$$

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Surface gravity and the first law of BH mechanics

First law of BH mechanics:

(for $\delta J = \delta Q = 0$)

$$\delta M = \frac{\kappa}{8\pi} \delta A$$



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Kodama, [Prog. Theor. Phys. 63, 1217 \(1980\)](#).
Abreu, Visser, [PRD 82, 044027 \(2010\)](#).
Kurpicz, Pinamonti, Verch, [Lett. Math. Phys. 111, 110 \(2021\)](#).

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Dynamical generalizations: [1] peeling surface gravity



ill-defined for transient object!

[2] **Kodama surface gravity:**



SM, Terno, [PRD 103, 064082 \(2021\)](#).
Mann, SM, Terno, [PRD 105, 124032 \(2022\)](#).



Nielsen, Yoon, [CQG 25, 085010 \(2008\)](#).
Cropp, Liberati, Visser, [CQG 30, 125001 \(2013\)](#).

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Kodama surface gravity

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$$\begin{aligned} \nabla_\mu K^\mu &= 0 \\ \nabla_\mu J^\mu &= 0, \quad J^\mu := G^{\mu\nu} K_\nu \end{aligned}$$



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Recall: $h(v, r) = 0$ at $r = r_+$ $\Rightarrow ds^2 = -f(v, r)dv^2 + 2dvdr + r^2 d\Omega^2$, $K^\mu = (1, 0, 0, 0)$



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$$f(v, r) = g(v, r) (r - r_-(v))^a (r - r_+(v))^b$$

Kodama surface gravity evaluated at outer horizon: $\kappa_K|_{r=r_+} = \frac{1}{2} \partial_r f(v, r)|_{r=r_+}$

$$= \lim_{r \rightarrow r_+} \frac{(r - r_+)^{-1+b} b g(v, r) (r - r_-)^a}{2}$$



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Note: Nonzero Kodama surface gravity requires that outer horizon is nondegenerate, i.e. $b = 1$.



Extended/Generalized dynamical first law

Using $f(v, r) := \partial_\mu r \partial^\mu r = 1 - \frac{C(v, r)}{r}$ \Rightarrow $\kappa_K|_{r=r_+} = \frac{1}{2} \partial_r f(v, r) = \frac{1}{2} [C(v, r) - r \partial_r C(v, r)]|_{r=r_+} = \frac{1 - w_1}{2r_+}$



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(Note: An arrow points from the $\frac{1 - w_1}{2r_+}$ term in the first equation to the $\sum_{i=1}^{\infty} w_i(v) (r - r_+(v))^i$ term in the second equation.)



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$\delta M = \frac{\kappa}{8\pi} \delta A - p \delta V$

$\hookrightarrow p = -\frac{w_1}{8\pi r_+^2}$

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Consistency condition

Generalized dynamical first law:

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Note:

Applies generically to dynamical black holes!



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Note:

Applies generically to dynamical black holes!

Now: Focus on dynamical RBH models $f(v, r) = g(v, r)(r - r_-(v))^a (r - r_+(v))^b$ with $b = 1$.

$$\Rightarrow w_1|_{r=r_+} = 1 - g(v, r_+)r_+(r_+ - r_-)^a$$

$$\Leftrightarrow g(v, r_+)r_+(r_+ - r_-)^a = 1$$



Nondegenerate dynamical RBH models ($a = 1, b = 1$)

Popular examples: Bardeen, Dymnikova, Hayward.



Bardeen in *Proceedings of the International Conference GR5* (Tbilisi University Press, Tbilisi, 1968).



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$$f(v, r) = 1 - \frac{r_g(v)r^2}{r^3 + r_g(v)l(v)^2}$$



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$$r_0 = -l + \frac{l^2}{2r_g} + \mathcal{O}(l^3) < 0, \quad r_- = l + \frac{l^2}{2r_g} + \mathcal{O}(l^3), \quad r_+ = r_g - \frac{l^2}{r_g} + \mathcal{O}(l^4)$$

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Comparison with $f(v, r) = g(v, r)(r - r_-(v))(r - r_+(v)) \Rightarrow g(v, r) = \frac{r - r_0}{r^3 + r_g l^2} > 0$



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Expansion of MS mass about the outer horizon $r = r_+$:

$$w_1|_{r=r_+} = \frac{3l^2}{r_g^2} + \mathcal{O}(l^4) \geq 0$$



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Analogous expressions are obtained for other nondegenerate models following the same procedure.



Degenerate dynamical RBH models ($a > 1, b = 1$)

$$f(v, r) = g(v, r) (r - r_-(v))^a (r - r_+(v))$$

Assume:

$$f(v, r) = \frac{\mathcal{P}_n(r)}{\tilde{\mathcal{P}}_n(r)} \quad \& \quad g(v, r) = \frac{1}{c_0 + c_1 r + \dots + c_{a+1} r^{a+1}}$$



Frolov, [PRD 94, 104056 \(2016\)](#).



Carballo-Rubio, Di Filippo, Liberati, Pacilio, Visser, [JHEP 118 \(2022\)](#).



Degenerate dynamical RBH models ($a > 1, b = 1$)

$$f(v, r) = g(v, r) (r - r_-(v))^a (r - r_+(v))$$

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Consistency condition:

$$g(v, r_+) r_+ (r_+ - r_-)^a = 1 \quad \Leftrightarrow \quad (r_+ - r_-)^a r_+ = \frac{1}{g(v, r_+)} = \sum_{i=0}^{a+1} c_i (r_-, r_+) r_+^i$$



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Frolov, [PRD 94, 104056 \(2016\)](#).



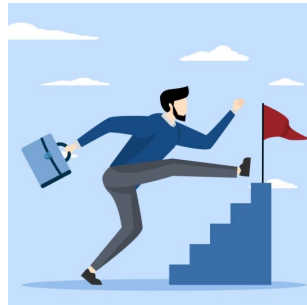
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[...]



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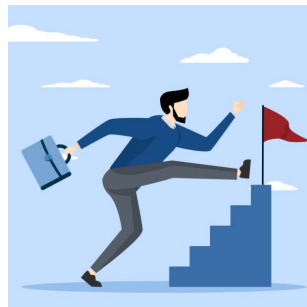
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Test CDLPV model ($a = 3$): $\Rightarrow 3r_+^2 - 3r_- r_+ + r_-^2 < 0$

[\[JHEP 118 \(2022\)\]](#)

Discriminant: $-3r_-^2 < 0 \Rightarrow$ Two distinct complex conjugate roots.





Regular black holes and the first law of black hole mechanics

Sebastian Murk^{1,*} and Ioannis Soranidis^{2,†}

¹*Okinawa Institute of Science and Technology, 1919-1 Tancha, Onna-son, Okinawa 904-0495, Japan*

²*School of Mathematical and Physical Sciences, Macquarie University, Sydney, New South Wales 2109, Australia*





Goal:

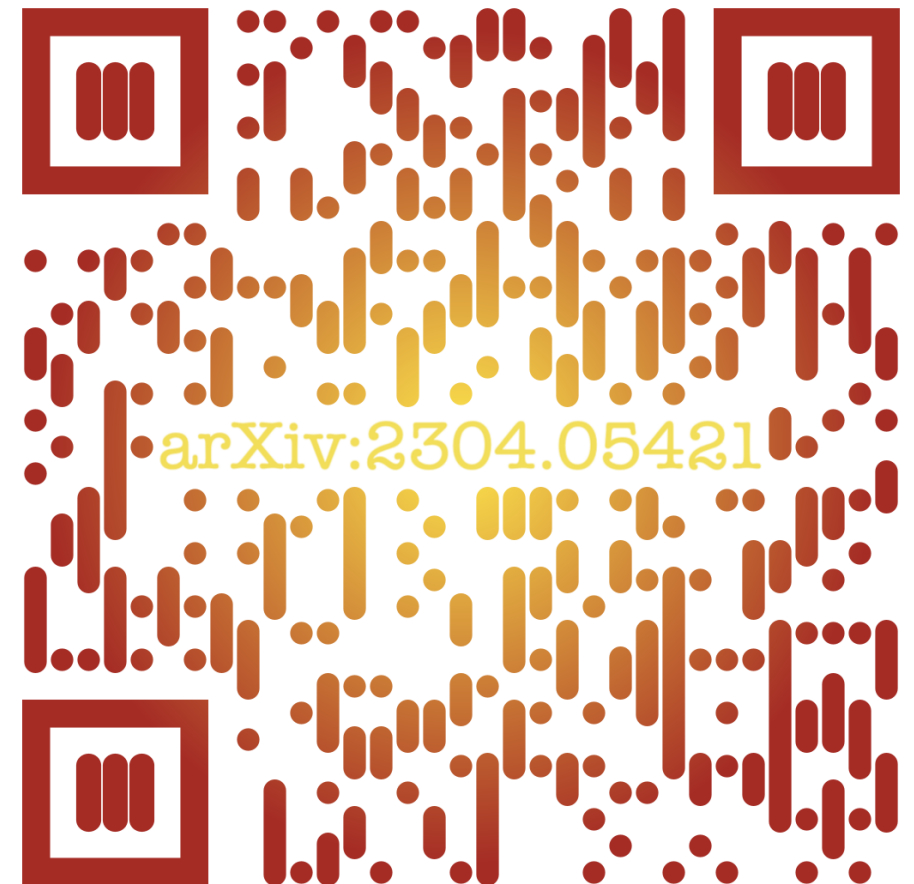
Investigate the first law of BH mechanics
in the context of dynamical RBHs.

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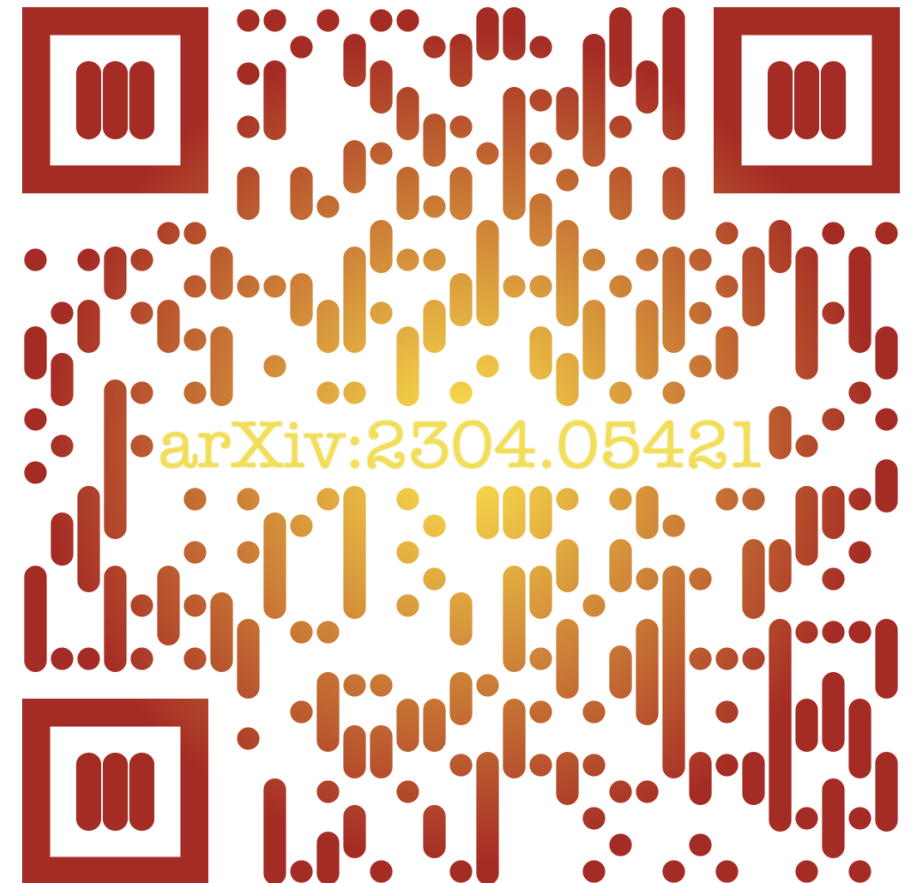
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Results:

1. First law receives corrections that can be interpreted as an **additional work term** of an extended first law:

$$\delta \left(\frac{r_+}{2} \right) = \frac{1 - w_1}{16\pi r_+} \delta A + \frac{w_1}{8\pi r_+^2} \delta V$$





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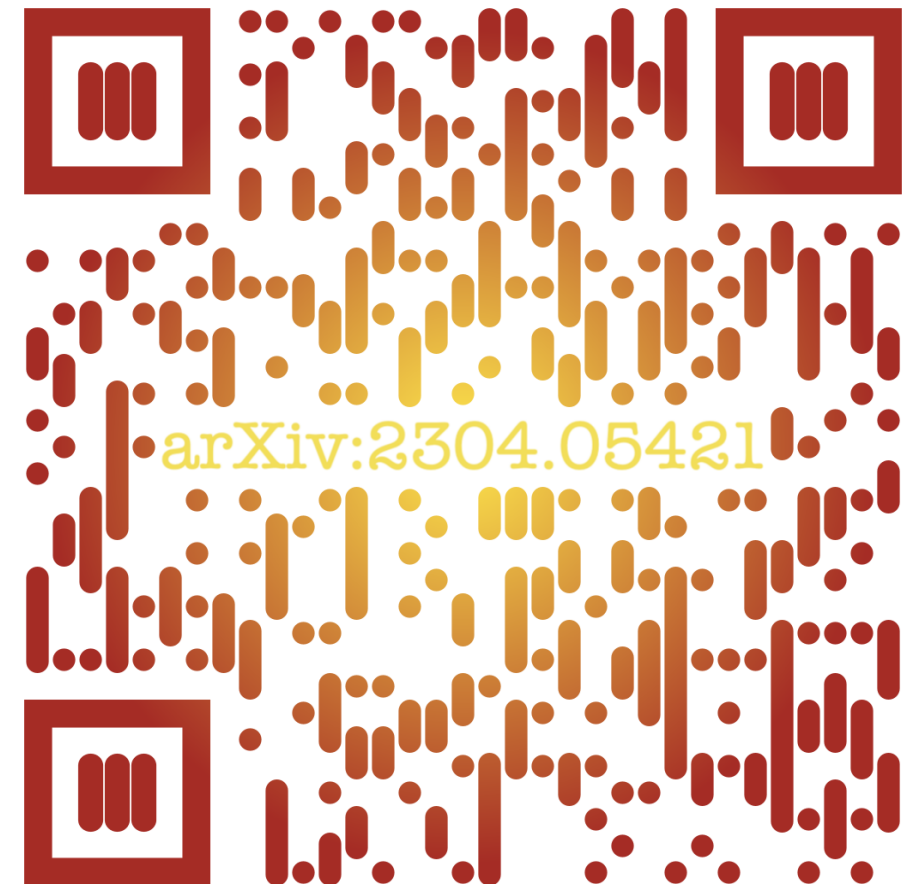
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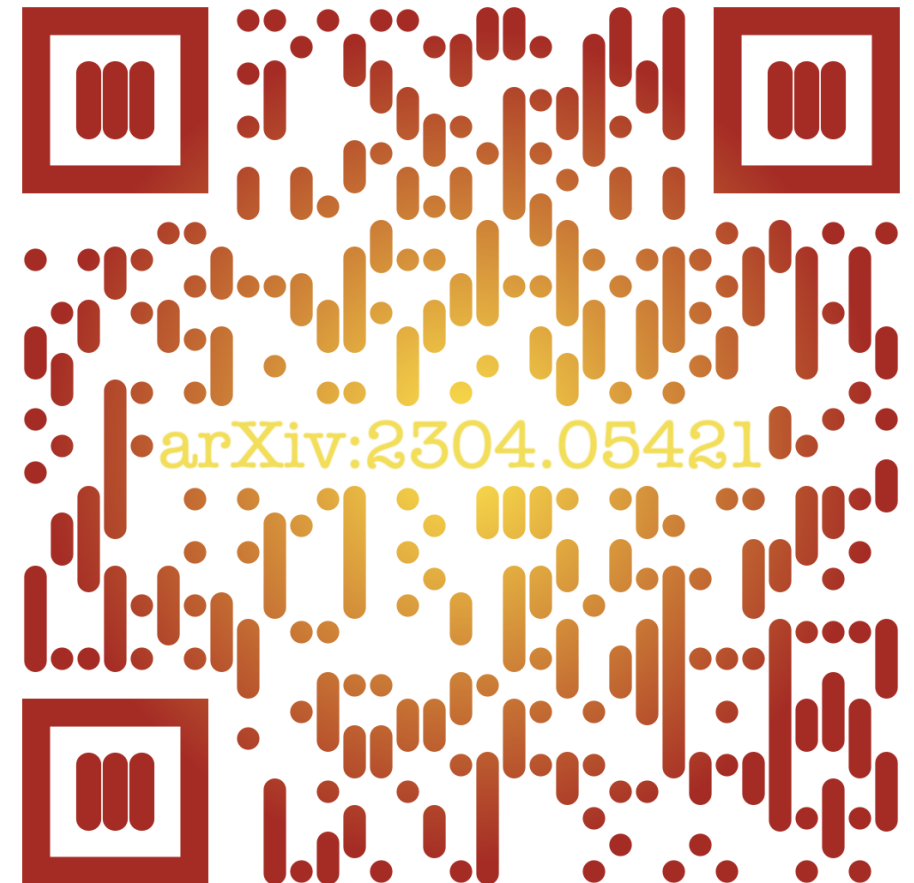
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2. **Linear coefficient of Misner–Sharp** suffices to determine the relevant thermodynamic properties.
3. Need for corrections is linked to introduction of minimal length scale (consequence of spacetime regularization).



BACKUP SLIDES



International Journal of Modern Physics D | Vol. 31, No. 09, 2230015 (2022) | Review Paper

Black holes and their horizons in semiclassical and modified theories of gravity

Robert B. Mann, Sebastian Murk and Daniel R. Terno

<https://doi.org/10.1142/S0218271822300154> |

[arXiv:2112.06515 \[gr-qc\]](https://arxiv.org/abs/2112.06515)

[Int. J. Mod. Phys. D 31, 2230015 \(2022\)](#)

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Abstract

For distant observers, black holes are trapped spacetime domains bounded by apparent horizons. We review properties of the near-horizon geometry emphasizing the consequences of two common implicit assumptions of semiclassical physics. The first is a consequence of the cosmic censorship conjecture, namely, that curvature scalars are finite at apparent horizons. The second is that horizons form in finite asymptotic time (i.e. according to distant observers), a property implicitly assumed in conventional descriptions of black hole formation and evaporation. Taking these as the only requirements within the semiclassical framework, we find that in spherical symmetry only two classes of dynamic solutions are admissible, both describing evaporating black holes and expanding white holes. We review their properties and present the implications. The null energy condition is violated in the vicinity of the outer horizon and satisfied in the vicinity of the inner apparent/anti-trapping horizon. Apparent and anti-trapping horizons are timelike surfaces of intermediately singular behavior, which manifests itself in negative energy density firewalls. These and other properties are also present in axially symmetric solutions. Different generalizations of surface gravity to dynamic spacetimes are discordant and do not match the semiclassical results. We conclude by discussing signatures of these models and implications for the identification of observed ultra-compact objects.

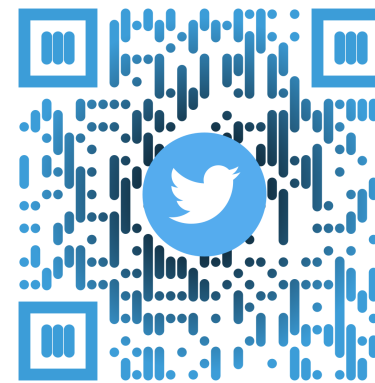
Keywords: Semiclassical gravity ■ modified gravity ■ black holes ■ apparent horizon ■ evaporation ■ white holes ■ energy conditions ■ thin shell collapse ■ surface gravity ■ information loss



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Existence of a trapped region



Hayward,
[Phys. Rev. D 49, 6467 \(1994\).](#)

Geodesic congruences: $\theta_- = -\frac{2}{r}$, $\theta_+ = \frac{f(v, r)}{r}$ \Rightarrow Existence of trapped region: $\theta_- \theta_+ \stackrel{?}{\leq} 0$

Presence of trapped is signified by $\theta_- \theta_+ > 0$, which implies $f < 0$ inside of the trapped region.
 $f > 0$ outside

$$f(v, r) = g(v, r) (r - r_-(v))^a (r - r_+(v))^b \Rightarrow g > 0 \text{ and } b \text{ odd.}$$

“Disappearance point”: $\theta_- \theta_+ |_{v=v_d} = -\frac{2}{r^2} g(v_d, r) (r - r_+(v_d))^{a+b} \leq 0 \quad \forall r$

$r_-(v_d) \equiv r_+(v_d)$

\Rightarrow Sum $a + b$ must be even.

$\Rightarrow a$ odd.



SM, Soranidis,
[arXiv:2304.05421 \[gr-qc\].](#)



Generalized dynamical charged Hayward–Frolov RBH

Generalized dynamical metric function:
$$f(v, r) = 1 - \frac{(r_g(v)r - q(v)^2) r^2}{r^4 + (r_g(v)r + q(v)^2) l(v)^2}$$



SM, Soranidis,
[arXiv:2304.05421 \[gr-qc\]](https://arxiv.org/abs/2304.05421).

Kodama surface gravity:
$$\kappa_{K_{\text{HF}}} = \frac{1 - w_1(v, l)}{2r_+(v, l)}$$

Horizons:
$$r_-(v, l) = r_-(v) + \beta_-(v)l^2 + \mathcal{O}(l^3)$$

$$r_+(v, l) = r_+(v) + \beta_+(v)l^2 + \mathcal{O}(l^4)$$

$$r_-(v) = m(v) - \sqrt{m(v)^2 - q(v)^2}$$

$$r_+(v) = m(v) + \sqrt{m(v)^2 + q(v)^2}$$

Consider MS expansion:
$$w_1(v, l) = \frac{q(v)^2}{r_+(v)^2} + \beta(v)l^2 + \mathcal{O}(l^4) \quad \Rightarrow \quad \kappa_{K_{\text{HF}}} = \frac{r_+(v) - r_-(v)}{2r_+(v)^2} + \mathcal{O}(l^2)$$

- Differences:**
1. Inner horizon $r_- \neq 0$ even if $l = 0$ due to the presence of a charged term that is independent of l .
 2. Compatibility with the first law is no longer encoded by $w_1 = 0$. For $l \rightarrow 0$, the new compatibility condition can be stated as

$$w_1(v, 0) = \frac{q(v)^2}{r_+(v)^2}$$



Page evaporation law

Mass loss due to emission of Hawking radiation:

$$\frac{dM}{dt} = - \sum_{j,\ell,m,p} \frac{1}{2\pi} \int_0^\infty \frac{\omega \Gamma_{j\omega\ell mp}}{e^{2\pi\omega/\kappa} - 1} d\omega$$



SM, Soranidis,
[arXiv:2304.05421 \[gr-qc\]](https://arxiv.org/abs/2304.05421).

Simplifying assumptions: $m = \ell = 0$

$$\Gamma \simeq \omega^2 r_g^2$$

Note:

Effects of Hawking radiation are described by ingoing Vaidya metric with decreasing mass ($C'(v) < 0$).

Explicit form of the coefficients and their expansion about $w_1 = 0$:

$$\alpha = 8a = -\frac{4}{\pi} \frac{1}{e^{\frac{4\pi}{1-w_1}} - 1},$$
$$\alpha = -\frac{4}{\pi} \frac{1}{e^{4\pi} - 1} + \mathcal{O}(w_1),$$

$$\frac{dM}{dv} \simeq -\frac{a}{M^2} \Leftrightarrow \frac{dr_+}{dv} \simeq -\frac{\alpha}{r_+^2} \Rightarrow t_e \sim M_0^3$$

\Rightarrow Standard Page evaporation law is modified if $w_1 = 0$ is not satisfied.

Hawking temperature: $T_H = \frac{\kappa}{2\pi}$ (for observer at infinity)

Several equivalent definitions, related to either
 Killing vector field with norm $\sqrt{\xi^\mu \xi_\mu} = 0$

Inaffinity of null geodesics on the horizon: $\xi^\mu_{;\nu} \xi^\nu := \kappa \xi^\mu$

or $x := r - r_g$

Peeling off properties of null geodesics near the horizon: $r \gtrsim r_g$ $\frac{dr}{dt} = \pm 2\kappa_{\text{peel}}(t)x + \mathcal{O}(x^2)$

In general dynamical spacetimes: no asymptotically timelike Killing vector.



Kodama, [Prog. Theor. Phys. **63**, 1217 \(1980\)](#).
Abreu, Visser, [Phys. Rev. D **82**, 044027 \(2010\)](#).
Kurpicz, Pinamonti, Verch, [Lett. Math. Phys. **111**, 110 \(2021\)](#).

Role of Hawking temperature captured either by **peeling** or **Kodama surface gravity**.



Barceló, Liberati, Sonego, Visser,
[Phys. Rev. D **83**, 041501\(R\) \(2011\)](#).

Indistinguishable for sufficiently slowly evolving horizons with properties close to their classical counterparts.

However: the similarity fails for dynamic spherically symmetric solutions!



Mann, SM, Terno,
[Phys. Rev. D **105**, 124032 \(2022\)](#).



Surface gravity in dynamic spacetimes: peeling surface gravity



Nielsen, Yoon, [Class. Quantum Gravity 25, 085010 \(2008\)](#).
Cropp, Liberati, Visser, [Class. Quantum Gravity 30, 125001 \(2013\)](#).

Consider **peeling surface gravity**:
$$\kappa_{\text{peel}} = \frac{e^{h(t,r_g)} (1 - C'(t, r_g))}{2r_g}$$

For example: $k=0$

$$C = r_g - c_{12}\sqrt{x} + \sum_{j \geq 1} c_j x^j$$
$$h = -\frac{1}{2} \ln \frac{x}{\xi} + \sum_{j \geq \frac{1}{2}} h_j x^j$$

With the metric functions C and h of the $k=0$ and $k=1$ solutions: $\kappa_{\text{peel}} \rightarrow \infty$ $\frac{dr}{dt} = \pm r'_g + a_{12}(t)\sqrt{x} + \mathcal{O}(x)$

Cf. stationary expression: $\frac{dr}{dt} = \pm 2\kappa_{\text{peel}}(t)x + \mathcal{O}(x^2)$



Nielsen, Visser, [Class. Quantum Gravity 23, 4637 \(2006\)](#).

Using Painlevé–Gullstrand coordinates (\bar{t}, r) : $\kappa_{\text{PG}_1} = \frac{1}{2r_g} (1 - \partial_r \bar{C}) \Big|_{r=r_g} \longrightarrow \kappa_{\text{PG}_1} = 0$



Mann, SM, Terno, [Phys. Rev. D 105, 124032 \(2022\)](#).

$\kappa_{\text{PG}_2} = \frac{1}{2r_g} (1 - \partial_r \bar{C} + \partial_{\bar{t}} \bar{C}) \Big|_{r=r} \longrightarrow$ 3 possibilities (0,∞,finite) depending on behaviour of \bar{t}



Surface gravity in dynamic spacetimes: Kodama surface gravity

Defined via $\frac{1}{2} K^\mu (\nabla_\mu K_\nu - \nabla_\nu K_\mu) := \kappa_K K_\nu$ evaluated at horizon.

Kodama vector field: $K^\mu = (e^{-h_+}, 0, 0, 0)$ (v,r) coordinates

covariantly conserved: $\nabla_\mu K^\mu = 0,$

$\nabla_\mu J^\mu = 0, \quad J^\mu := G^{\mu\nu} K_\nu$

Result: $\kappa_K = \frac{1}{2} \left(\frac{C_+(v, r)}{r^2} - \frac{\partial_r C_+(v, r)}{r} \right) \Big|_{r=r_+} = \frac{(1 - w_1)}{2r_+}$

→ 0 at formation of black hole.

→ Approaches static value $\kappa = 1/(4M)$ only if metric is close to pure Vaidya metric.



Mann, SM, Terno, [Phys. Rev. D **105**, 124032 \(2022\).](#)

→ **Contradicts semiclassical results.**