

Towards a quantitative phenomenology of quantum gravity

Aaron Held

DAAD PRIME Fellow
Jena University



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Towards a quantitative phenomenology of quantum gravity in black-hole astrophysics

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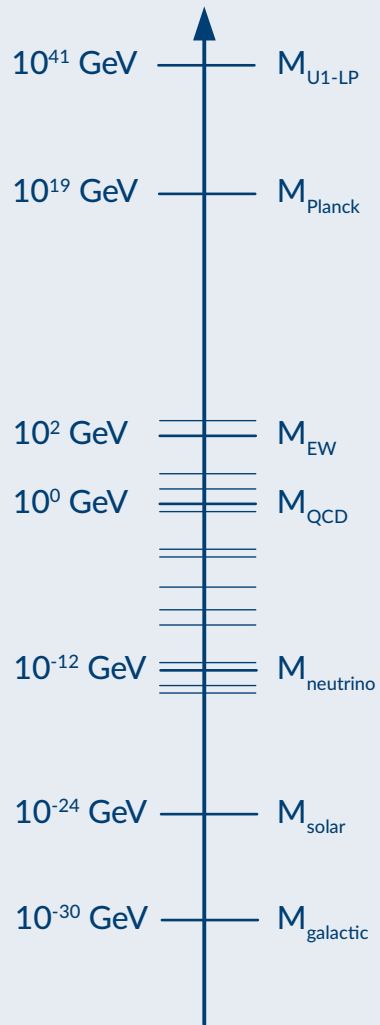


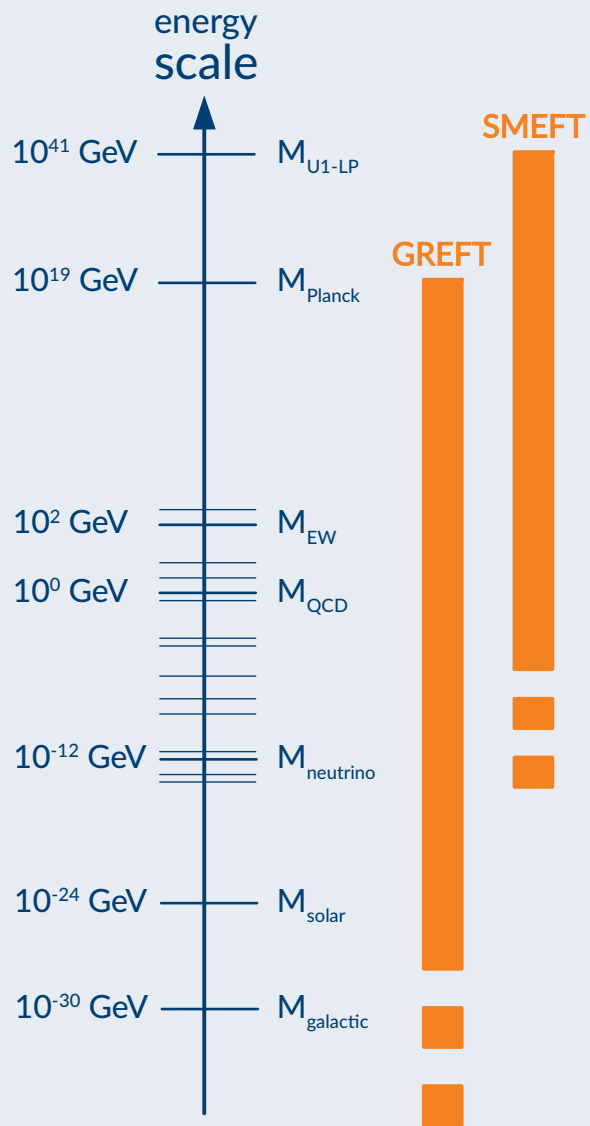
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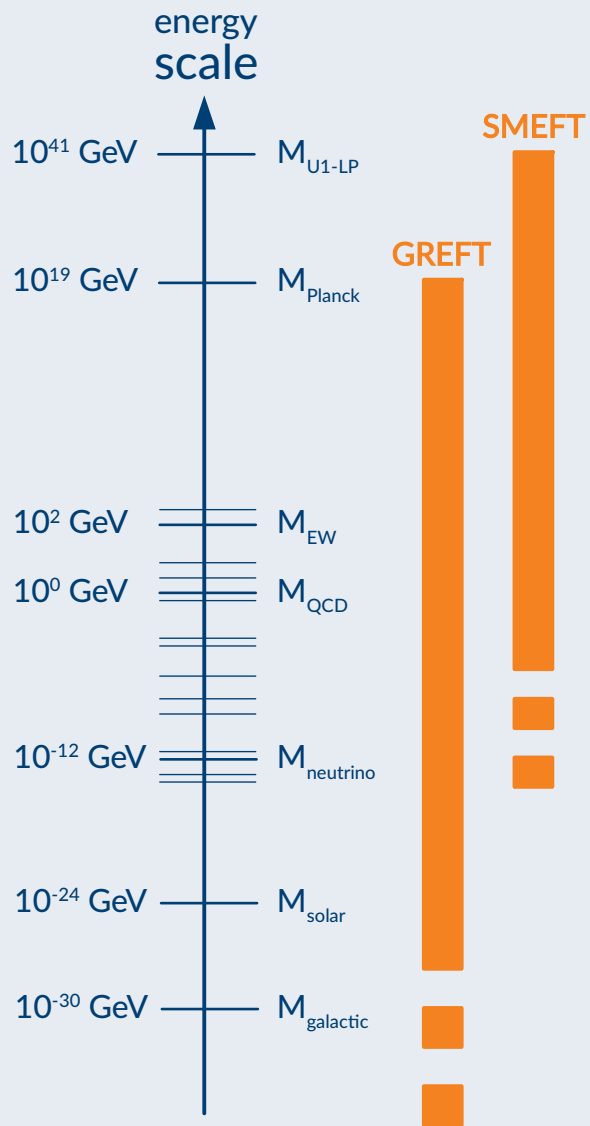
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energy scale

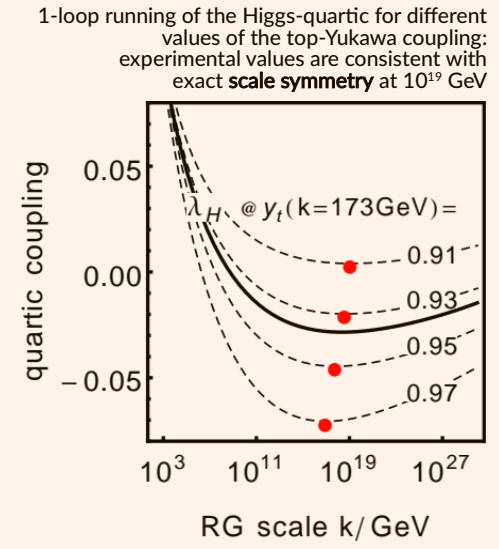
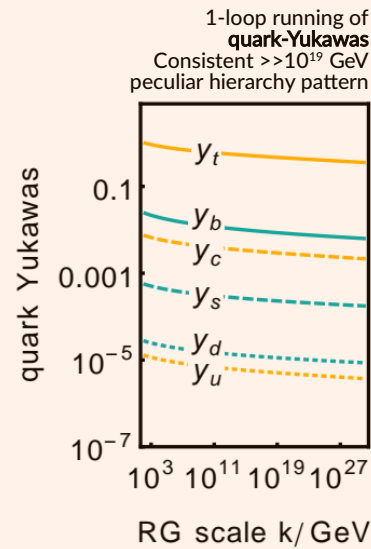
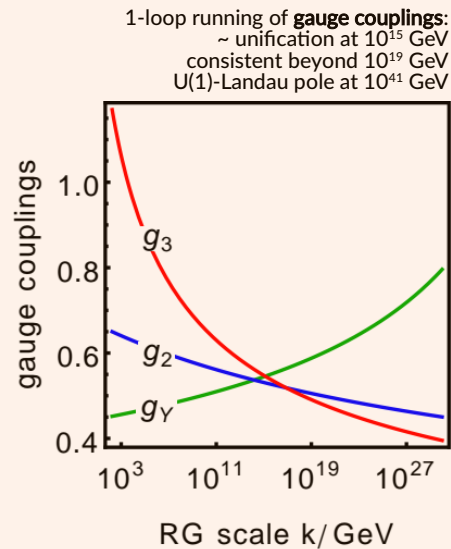
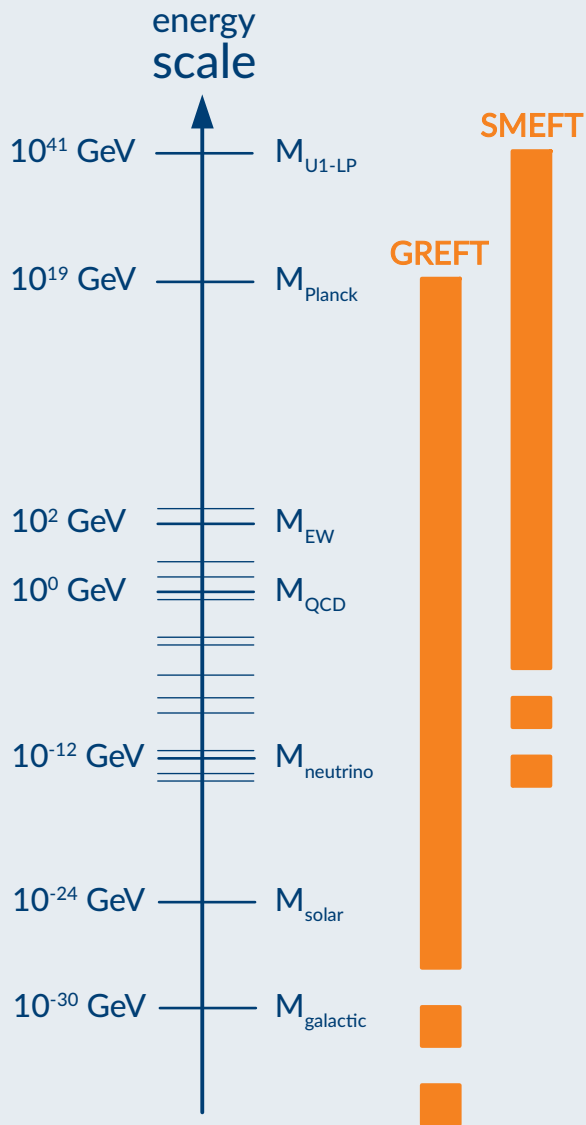




- SMEFT + GREFT works ...

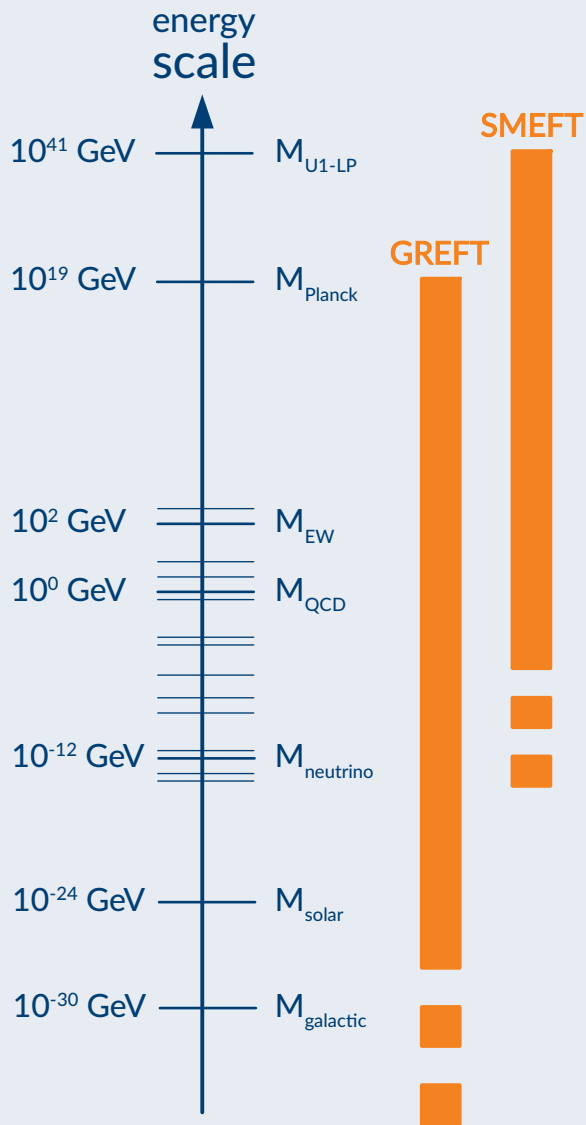


- SMEFT + GREFT works ... depressingly well

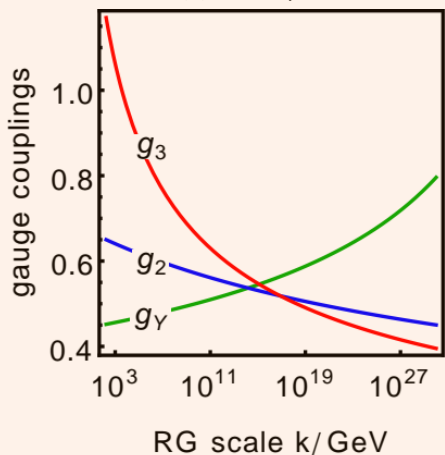


Held, PhD thesis, '19

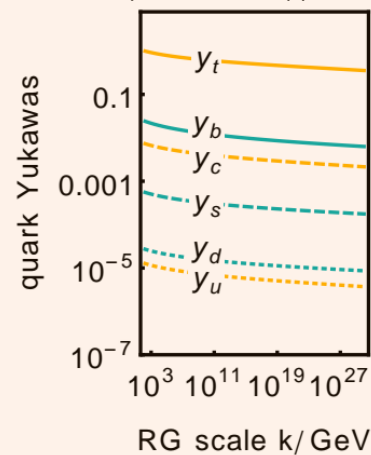
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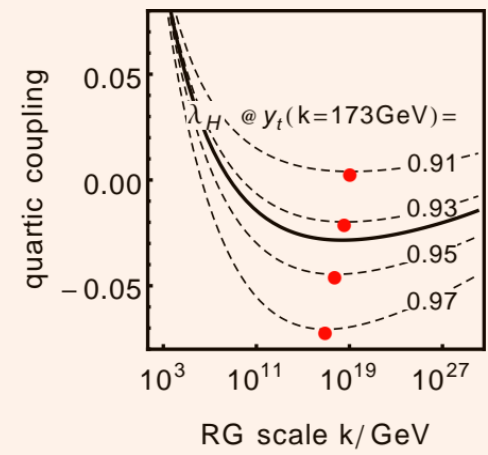
1-loop running of **gauge couplings**:
 ~ unification at 10^{15} GeV
 consistent beyond 10^{19} GeV
 U(1)-Landau pole at 10^{41} GeV



1-loop running of **quark-Yukawas**
 Consistent $\gg 10^{19}$ GeV
 peculiar hierarchy pattern

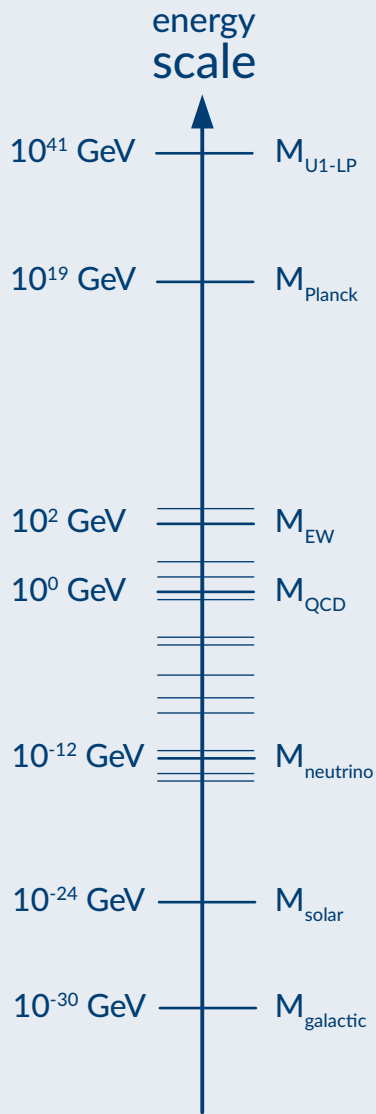


1-loop running of the Higgs-quartic for different values of the top-Yukawa coupling:
 experimental values are consistent with exact **scale symmetry** at 10^{19} GeV



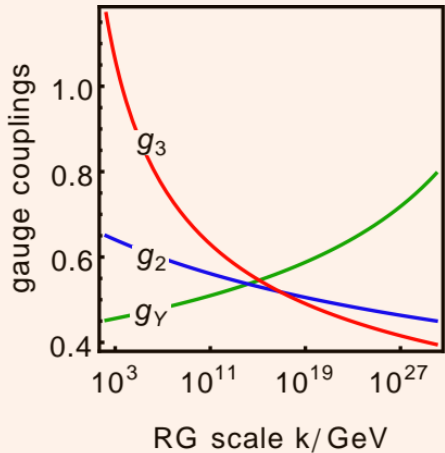
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- SMEFT + GREFT works ... let's make use of it

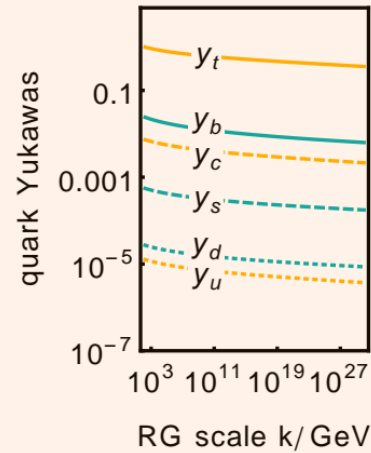


ANY PROPOSAL FOR A QUANTITATIVE AND PREDICTIVE UV-COMPLETION

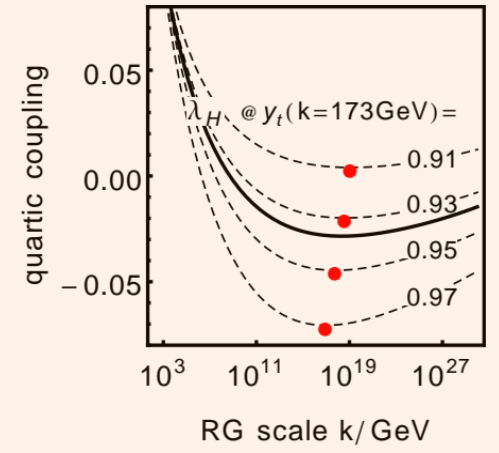
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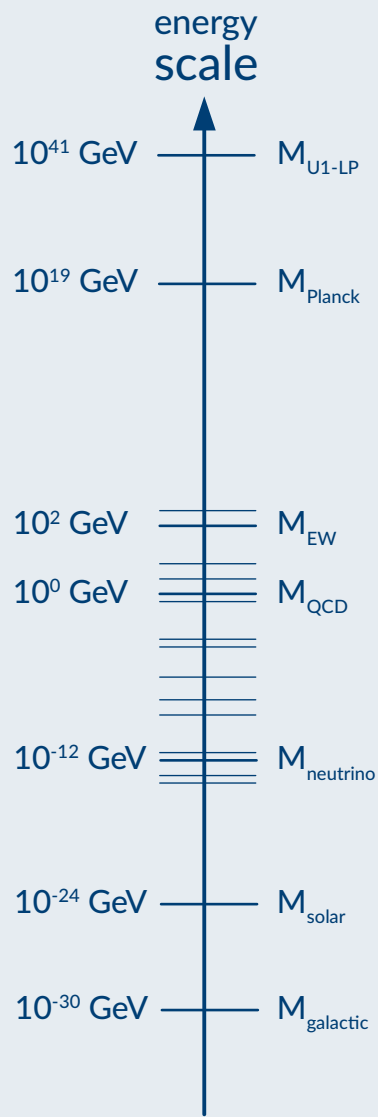


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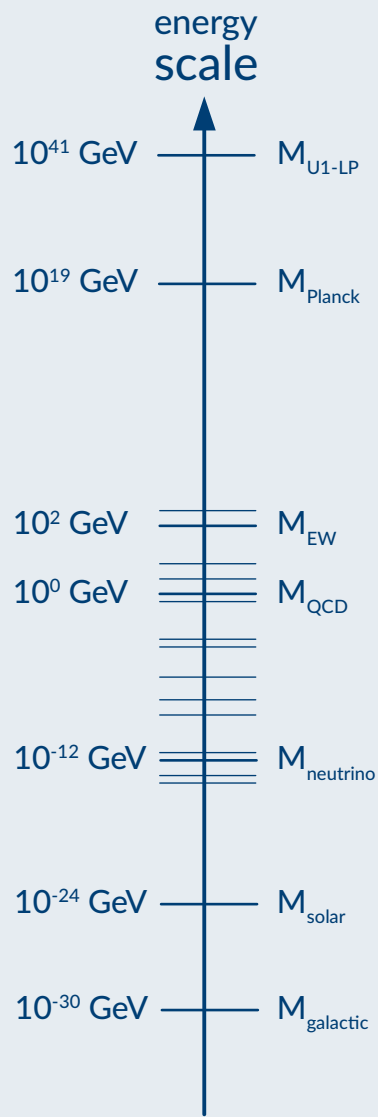


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asymptotically safe effective action
only a few free parameters!

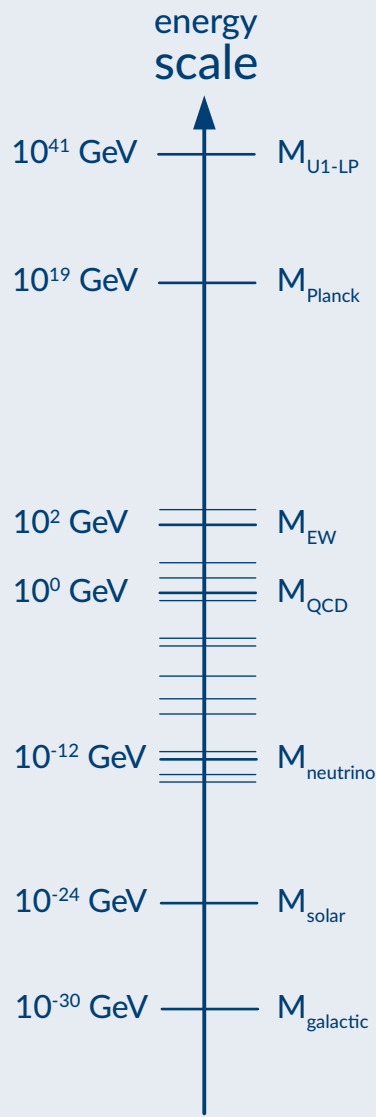


asymptotically safe effective action

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- cosmology [early universe]

see other talks by
Christof Wetterich
Enrico Pajer



asymptotically safe effective action

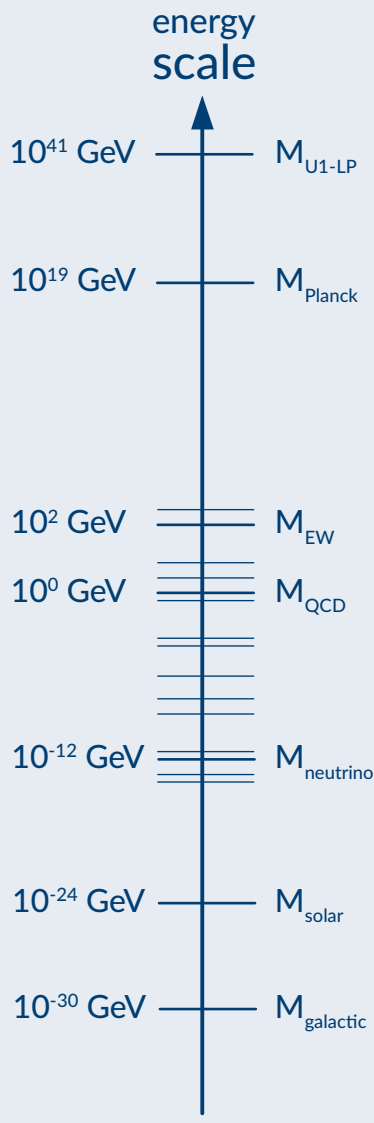
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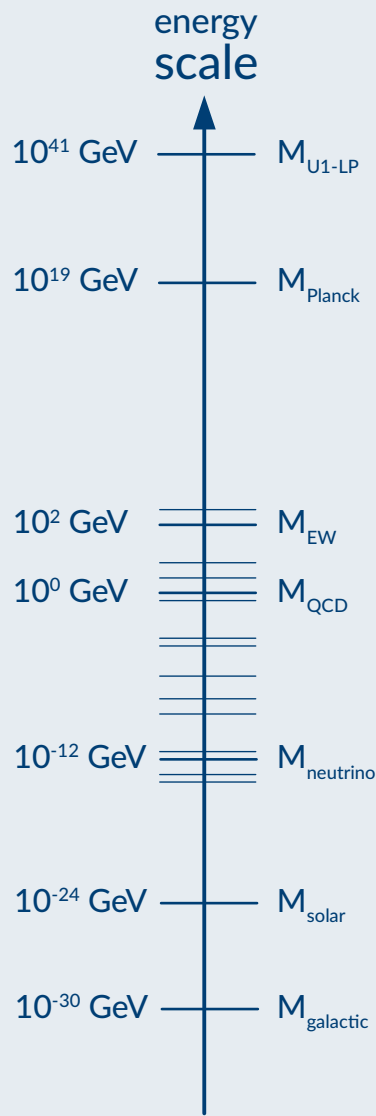
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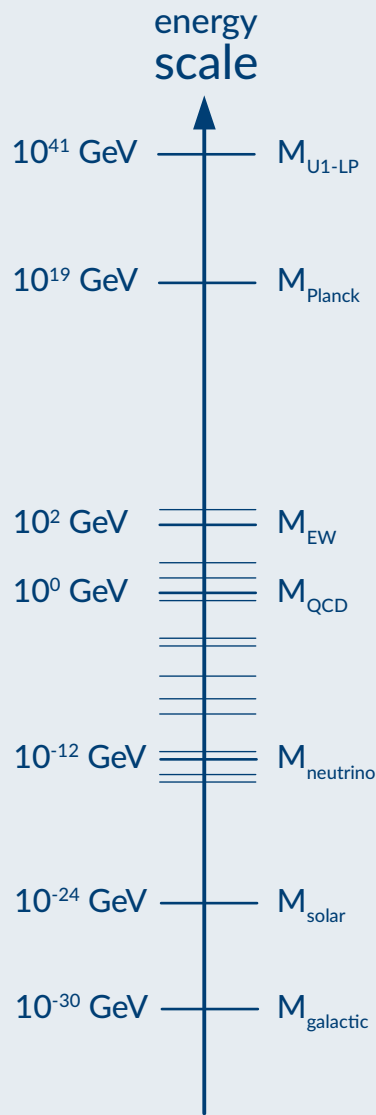
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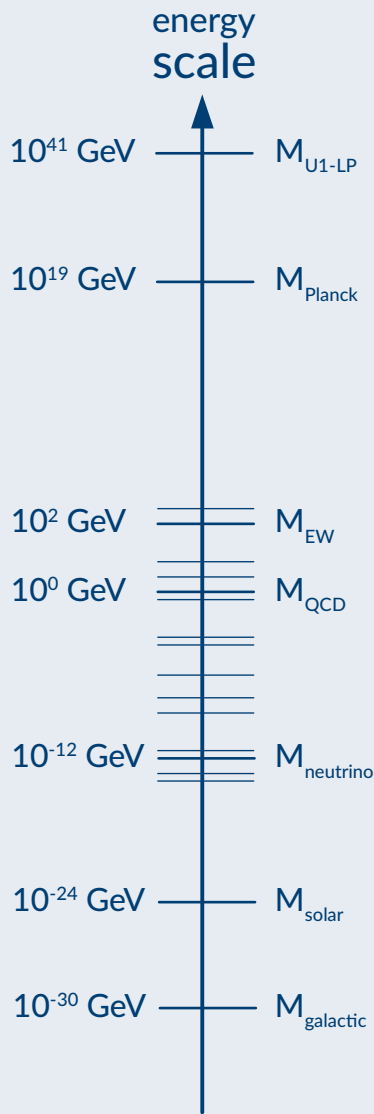
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- nonlinear gravitational astrophysics

- cosmology again [late universe]

singularity
theorems

An orange arrow points upwards from the bottom right towards the text 'singularity theorems'.



asymptotically safe effective action

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singularity
theorems

cosmic
censorship
Wald '97
Gundlach '07

Maintaining
cosmic censorship in gravitational EFTs
seems to be very nontrivial!

Ripley, Pretorius '19
Figueras, France '20
Hegade, Ripley, Yunes '22

...

Maintaining
cosmic censorship in gravitational EFTs
seems to be very nontrivial!

Violations ... either suggest that the **EFT is incomplete**
or suggest an opportunity for **smoking-gun signatures**.

Part I:
global
"solutions"

Interlude:
The quantum
effective action

Part II:
linear
dynamics

Part III:
nonlinear
dynamics

A comment
on ghosts?

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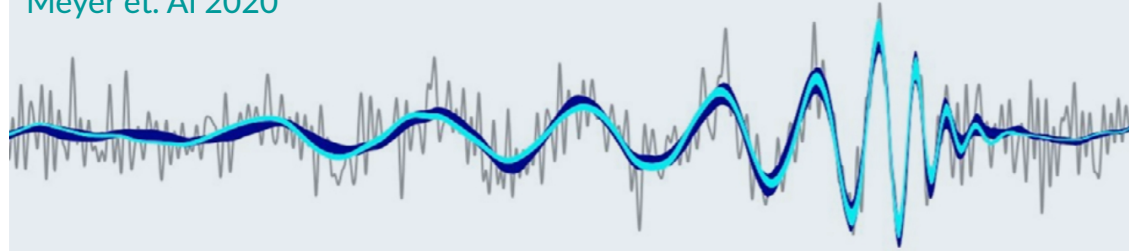
EHT collaboration



Part I:
global
"solutions"

Interlude:
The quantum
effective action

adapted from
Meyer et. Al 2020



Part II:
linear
dynamics

Part III:
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Part I: global (horizonless) solutions

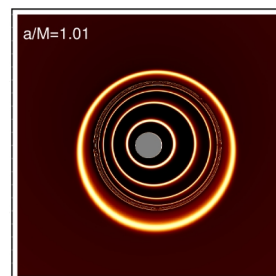
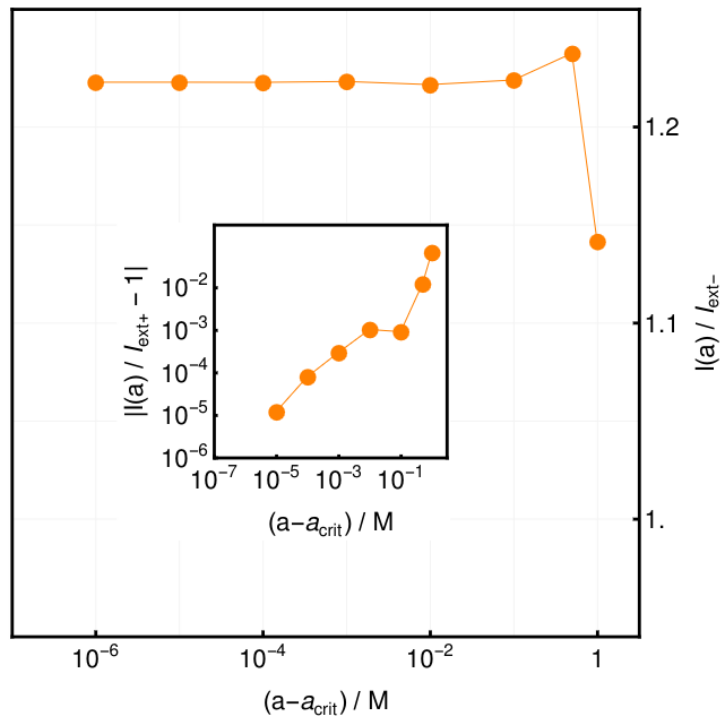
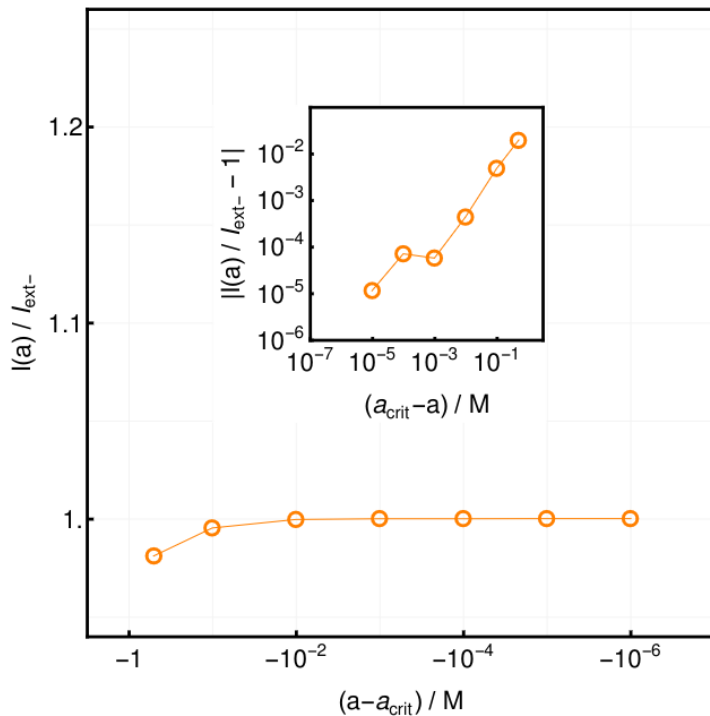
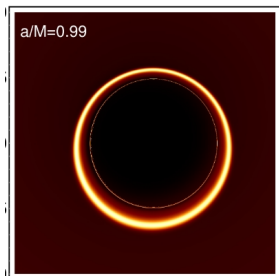
by overspinning regular black holes: Eichhorn, Held, JCAP 01 (2023) 032, ...

by distinct branches of global solutions: Daas et al '22, ...

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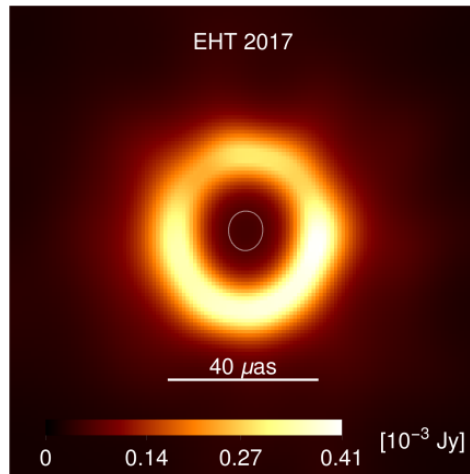
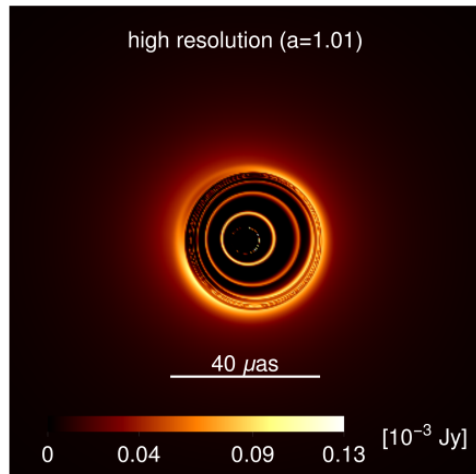
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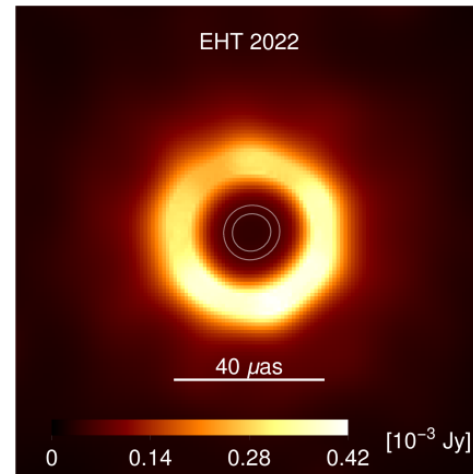


Can we exclude this?

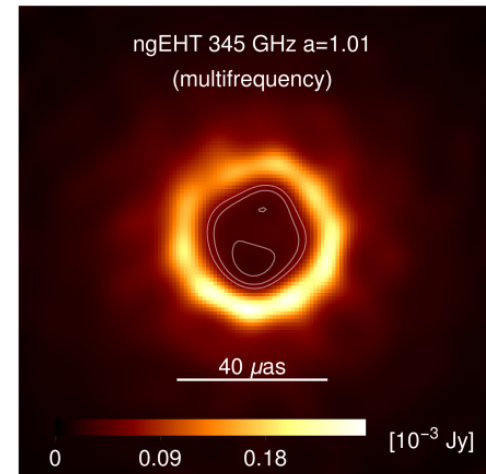
Eichhorn, Held, Gold, ApJ. 950 (2023) 2, 117



ehtim reconstruction
EHT array 2017
8 telescopes
230 GHz



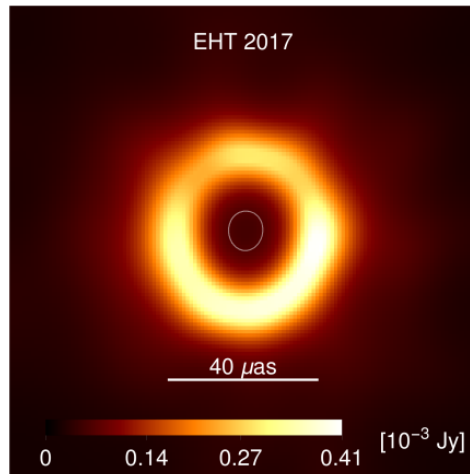
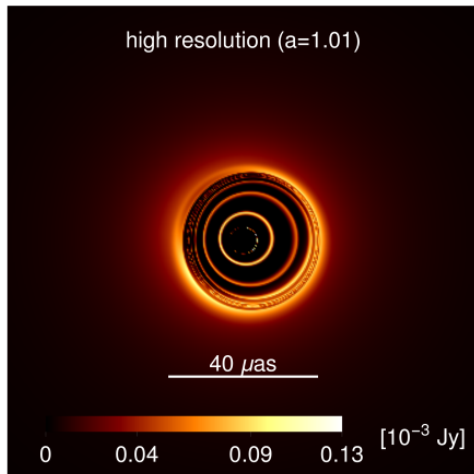
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11 telescopes
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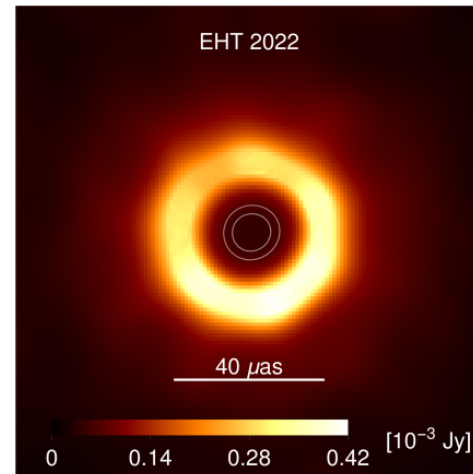
ehtim reconstruction
proposed ngEHT array
21 telescopes
230 | 345 GHz
(multifrequency)

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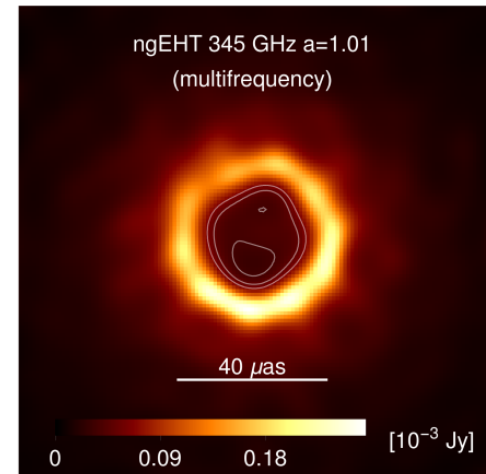
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proposed ngEHT array
21 telescopes
230 | 345 GHz
(multifrequency)

Do we really trust the RG improvement? Held 2105.11458

Interlude: The quantum effective action

How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

N_P : power of momenta

How to expand?

- might be nontrivial to resolve curvature & momentum

$$N_R[\text{Riem}] = 1 \quad N_P[\text{Riem}] = 2$$

$$N_R[\square] = 0 \quad N_P[\square] = 2$$

N_R : power of curvature

N_P : power of momenta

How to expand?

- might be nontrivial to resolve curvature & momentum

$$N_R[\text{Riem}] = 1 \quad \tilde{N}_P[\text{Riem}] = 0$$

$$N_R[\square] = 0 \quad \tilde{N}_P[\square] = 1$$

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

General Relativity

$$- \frac{\mathcal{L}_{\text{GR}}}{\sqrt{-g}} = M_{\text{Pl}}^2 \left[\lambda + \frac{1}{2} R \right]$$

$$\tilde{N}_P = 0$$

$$N_R = 1$$

... propagates **2 DoF**

How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

Quadratic Gravity

$$-\frac{\mathcal{L}_{\text{quadratic}}}{\sqrt{-g}} = M_{\text{Pl}}^2 \left[\lambda + \frac{1}{2}R + \frac{1}{12m_0^2}R^2 + \frac{1}{4m_2^2}C_{abcd}C^{abcd} \right]$$

$$\tilde{N}_P = 0$$

$$N_R = 2$$

... propagates **2 + 1 + 5 DoF**

How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

Cubic Gravity

$$-\frac{\mathcal{L}_{\text{cubic}}}{\sqrt{-g}} = M_{\text{Pl}}^2 \left[\dots + \frac{d_1}{\Lambda^4} R_{\mu\nu\rho\sigma} R^{\mu\nu}{}_{\alpha\beta} R^{\alpha\beta\rho\sigma} + \frac{d_1}{\Lambda^4} \tilde{R}_{\mu\nu\rho\sigma} R^{\mu\nu}{}_{\alpha\beta} R^{\alpha\beta\rho\sigma} \right] \quad \left| \begin{array}{l} \tilde{N}_P = 0 \\ N_R = 3 \end{array} \right.$$

... propagates **2 + 1 + 5 DoF**

How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

Quartic Gravity

$$-\frac{\mathcal{L}_{\text{quartic}}}{\sqrt{-g}} = M_{\text{Pl}}^2 \left[\dots + \frac{e_1}{\Lambda^6} c^2 + \frac{e_2}{\Lambda^6} \tilde{c}^2 + \frac{e_3}{\Lambda^6} \tilde{c}c \right]$$

$$\tilde{N}_P = 0$$

$$N_R = 4$$

... propagates **2 + 1 + 5 DoF**

How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

f(Riemann) Gravity

$$- \frac{\mathcal{L}_\infty}{\sqrt{-g}} = \mathcal{F}(\text{Riem})$$

Linear: Hindawi, Ovrut, Waldram, PRD 53 (1996)
Nonlinear: ongoing work with Pau Figueras

$$\tilde{N}_P = 0$$

$$N_R = \infty$$

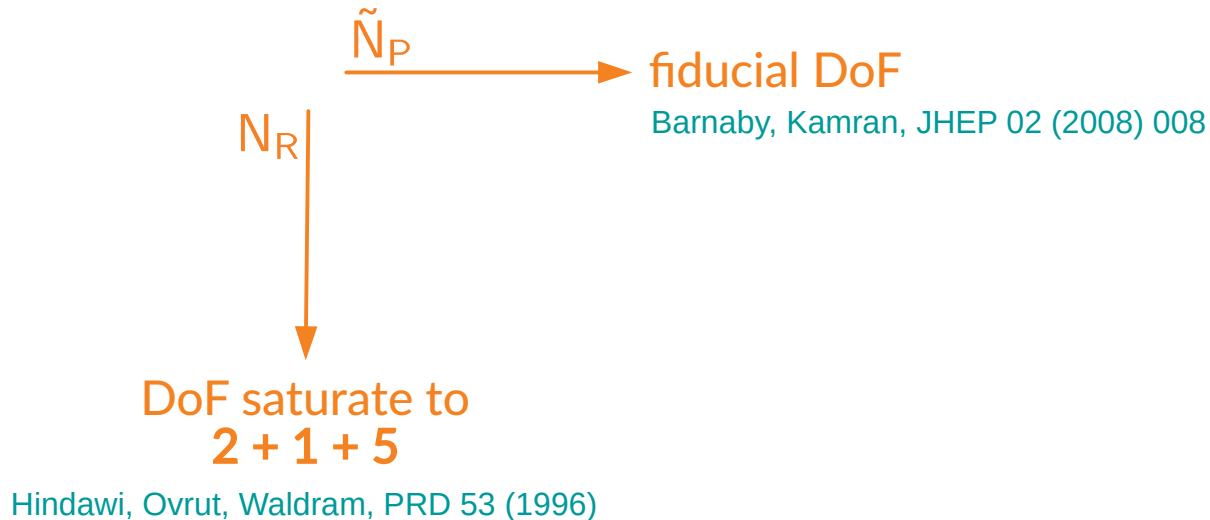
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How to expand?

- might be nontrivial to resolve curvature & momentum

N_R : power of curvature

$$\tilde{N}_P = N_P/2 - N_R$$

Form factors

$$- \frac{\mathcal{L}_\infty}{\sqrt{-g}} = R \mathcal{F}_R(\square) R + R_{\mu\nu} \mathcal{F}_{\text{Ric}}(\square) R^{\mu\nu} + C_{\mu\nu\rho\sigma} \mathcal{F}_{\text{Weyl}}(\square) C^{\mu\nu\rho\sigma}$$

$$\tilde{N}_P = \infty$$

$$N_R = 2$$

... propagating DoF depend on poles

Quadratic Gravity

Stelle, PRD 16 (1977) 953-969

$$S = \int d^4x \sqrt{|g|} M_{\text{Planck}}^2 \left[\frac{1}{2} R + \frac{1}{12m_0^2} R^2 + \frac{1}{4m_2^2} C_{abcd} C^{abcd} \right]$$

massless spin-2 massive spin-0 massive spin-2

Quadratic Gravity

Stelle, PRD 16 (1977) 953-969

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massless spin-2 massive spin-0 massive spin-2

as a fundamental theory

[perturbatively renormalizable; asymptotically free; ghost]

Stelle, PRD 16 (1977) 953-969

see also talk by **Luca Buoninfante**

Avramidi, Barvinsky,
PLB 159 (1985) 269-274

Bender, Mannheim, PRL 100 (2008)
Donoghue, Menezes, PRD 104 (2021) 4

Quadratic Gravity

Stelle, PRD 16 (1977) 953-969

$$S = \int d^4x \sqrt{|g|} M_{\text{Planck}}^2 \left[\underbrace{\frac{1}{2} R}_{\text{massless spin-2}} + \underbrace{\frac{1}{12m_0^2} R^2}_{\text{massive spin-0}} + \underbrace{\frac{1}{4m_2^2} C_{abcd} C^{abcd}}_{\text{massive spin-2}} \right]$$

as the marginal terms
in the effective action

modulo:

essential scheme / field redefinitions

Baldazzi, Falls '21
Knorr '22

see also talk by Kevin Falls

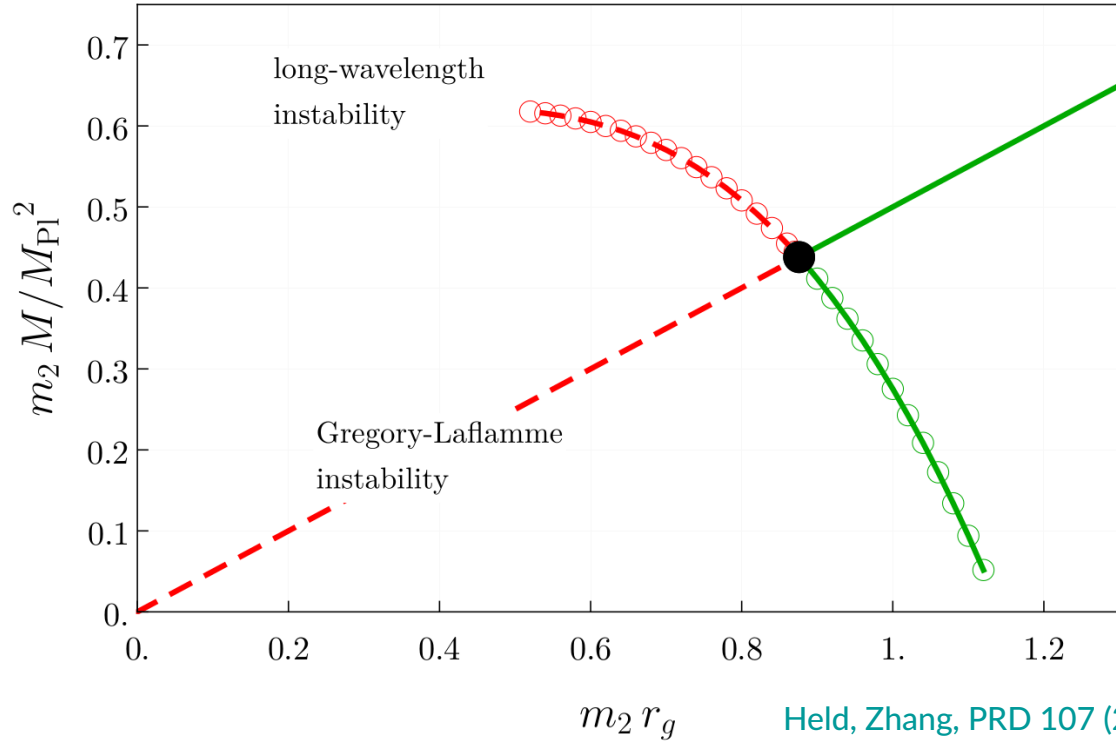
Burgess, Living Rev. Rel. 7:5,2004
Endlich et. Al, JHEP 09 (2017) 122

Part II: Linear dynamics in Quadratic Gravity

Held, Zhang, PRD 107 (2023) 6

Linear stability: Spherically-symmetric BHs

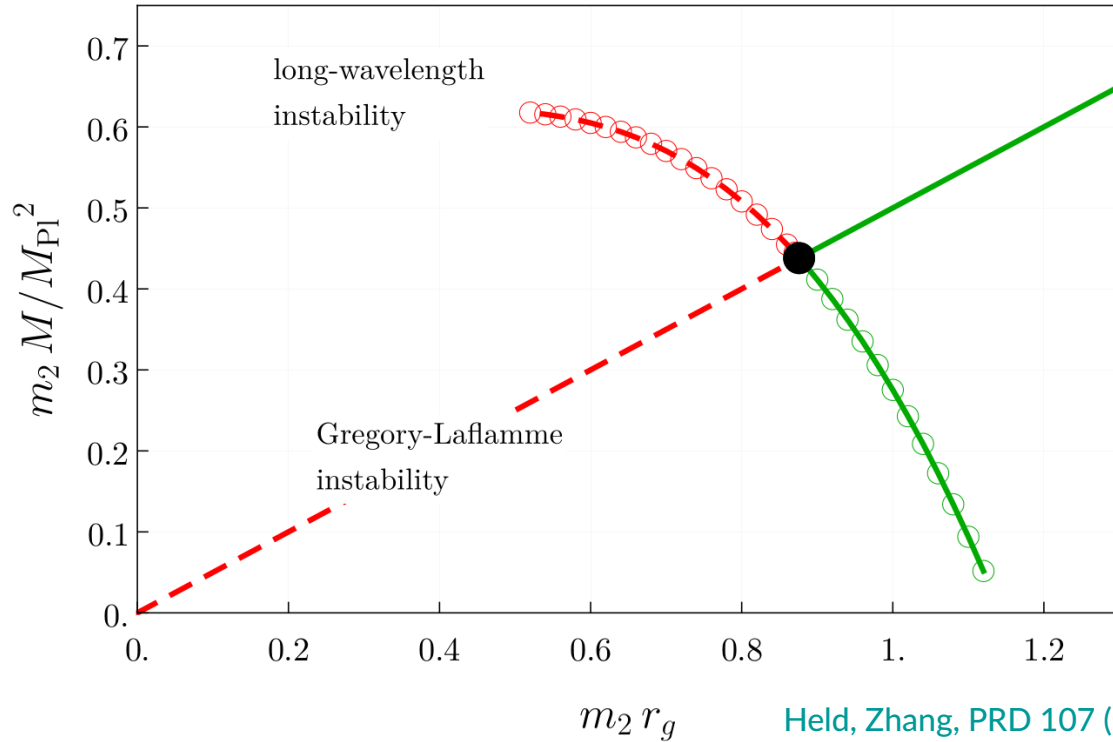
Held, Zhang, PRD 107 (2023) 6



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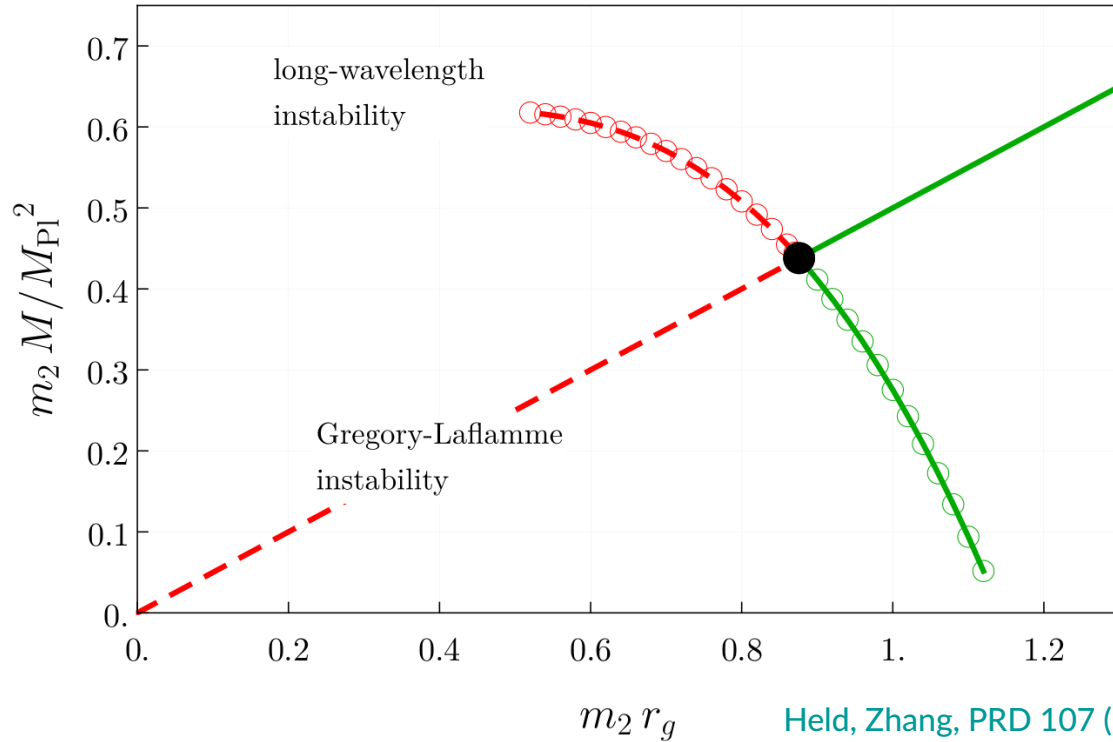
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➤ **Black-hole uniqueness is broken**
(in the fundamental theory)

Svarc et. al, 2209.15089

Linear stability: Spherically-symmetric BHs

Held, Zhang, PRD 107 (2023) 6



Held, Zhang, PRD 107 (2023) 6

➤ **Black-hole uniqueness is broken**
(in the fundamental theory)

Svarc et. Al, 2209.15089

➤ **Large BHs are stable**

➤ **Small BHs are unstable**

Dynamics: linear DoF

Background: decomposition

Boundary conditions:

Dynamics: linear DoF

$$\mathcal{L}_{\text{QG}} = M_{\text{Pl}}^2 \left[\frac{1}{2} R + \frac{1}{12m_0^2} R^2 + \frac{1}{4m_2^2} C_{\text{abcd}} C^{\text{abcd}} \right]$$

- massless spin-2 h_{ab}
(graviton)
- massive spin-0 ϕ
- massive spin-2 ψ_{ab}

Background: decomposition

Boundary conditions:

Dynamics: linear DoF

$$\mathcal{L}_{\text{QG}} = M_{\text{Pl}}^2 \left[\frac{1}{2} \dot{R}^2 + \frac{1}{12m_0^2} R^2 + \frac{1}{4m_2^2} C_{abcd} C^{abcd} \right]$$

- massless spin-2 h_{ab}
(graviton)
- massive spin-0 ϕ
- massive spin-2 ψ_{ab}

Background: decomposition

- spherical harmonics $Y_{\ell m}(\theta, \phi)$

$$h_{ab}^{(\text{polar})} = e^{-i\omega t} h_{ab}^{(\text{polar})\ell m}(r) Y^{\ell m}(\theta, \phi)$$

$$h_{ab}^{(\text{axial})} = e^{-i\omega t} h_{ab}^{(\text{axial})\ell m}(r) Y^{\ell m}(\theta, \phi)$$

$$\psi_{ab}^{(\text{polar})} = e^{-i\omega t} \psi_{ab}^{(\text{polar})\ell m}(r) Y^{\ell m}(\theta, \phi)$$

$$\psi_{ab}^{(\text{axial})} = e^{-i\omega t} \psi_{ab}^{(\text{axial})\ell m}(r) Y^{\ell m}(\theta, \phi)$$

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Background: decomposition

- spherical harmonics $Y_{\ell m}(\theta, \phi)$
- axisymmetric perturbations $m = 0$

$$h_{\text{ab}}^{(\text{polar})} = e^{-i\omega t} \begin{pmatrix} \text{AH}_0 & H_1 & 0 & 0 \\ H_1 & H_2/B & 0 & 0 \\ 0 & 0 & r^2 \mathcal{K} & 0 \\ 0 & 0 & 0 & r^2 \sin^2 \theta \mathcal{K} \end{pmatrix} Y^\ell(\theta)$$

$$\psi_{\text{ab}}^{(\text{polar})} = e^{-i\omega t} \begin{pmatrix} \text{AF}_0 & F_1 & \mathcal{F}_0 \partial_\theta & 0 \\ F_1 & F_2/B & \mathcal{F}_1 \partial_\theta & 0 \\ \mathcal{F}_0 \partial_\theta & \mathcal{F}_1 \partial_\theta & \mathcal{M} + \mathcal{N} \partial_\theta^2 & 0 \\ 0 & 0 & 0 & \sin^2 \theta \mathcal{M} \end{pmatrix} Y^\ell(\theta)$$

Boundary conditions:

Dynamics: linear DoF

$$\mathcal{L}_{\text{QG}} = M_{\text{Pl}}^2 \left[\frac{1}{2} \dot{R}^2 + \frac{1}{12m_0^2} R^2 \dot{\phi}^2 + \frac{1}{4m_2^2} C_{abcd} \dot{C}^{abcd} \right]$$

- massless spin-2 h_{ab} (graviton)
- massive spin-0 ϕ
- massive spin-2 ψ_{ab}

Background: decomposition

- spherical harmonics $Y_{\ell m}(\theta, \phi)$
- axisymmetric perturbations $m = 0$
- focus on the monopole $\ell = 0$

$$h_{ab}^{(\text{polar})} = e^{-i\omega t} \begin{pmatrix} AH_0 & H_1 & 0 & 0 \\ H_1 & H_2/B & 0 & 0 \\ 0 & 0 & r^2 \mathcal{K} & 0 \\ 0 & 0 & 0 & r^2 \sin^2 \theta \mathcal{K} \end{pmatrix} Y^{\ell=0}$$

$$\psi_{ab}^{(\text{polar})} = e^{-i\omega t} \begin{pmatrix} AF_0 & F_1 & 0 & 0 \\ F_1 & F_2/B & 0 & 0 \\ 0 & 0 & \mathcal{M} & 0 \\ 0 & 0 & 0 & \sin^2 \theta \mathcal{M} \end{pmatrix} Y^{\ell=0}$$

Boundary conditions:

Dynamics: linear DoF

$$\mathcal{L}_{\text{QG}} = M_{\text{Pl}}^2 \left[\frac{1}{2} \dot{R}^2 + \frac{1}{12m_0^2} R^2 \dot{\phi}^2 + \frac{1}{4m_2^2} C_{abcd} \dot{C}^{abcd} \right]$$

- massless spin-2 h_{ab} (graviton)
- massive spin-0 ϕ
- massive spin-2 ψ_{ab}

Background: decomposition

- spherical harmonics $Y_{\ell m}(\theta, \phi)$
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$$\frac{d^2}{dr_*^2} \psi(r) + \psi(r) [\omega^2 - V(r)] = 0$$

GR-background: Brito, Cardoso, Pani '13
non-GR: **Held**, Zhang, PRD 107 (2023) 6

Boundary conditions:

Dynamics: linear DoF

$$\mathcal{L}_{\text{QG}} = M_{\text{Pl}}^2 \left[\frac{1}{2} \dot{R}^2 + \frac{1}{12m_0^2} R^2 \dot{\phi}^2 + \frac{1}{4m_2^2} C_{abcd} C^{abcd} \right]$$

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Boundary conditions:

- purely ingoing waves at the horizon

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non-GR: **Held**, Zhang, PRD 107 (2023) 6

Boundary conditions:

- purely ingoing waves at the horizon
- outgoing waves at asymptotic infinity define QNMs
- ingoing waves at asymptotic infinity define bound states

Dynamics: linear DoF

$$\mathcal{L}_{\text{QG}} = M_{\text{Pl}}^2 \left[\frac{1}{2} \dot{R}^2 + \frac{1}{12m_0^2} R^2 + \frac{1}{4m_2^2} C_{abcd} C^{abcd} \right]$$

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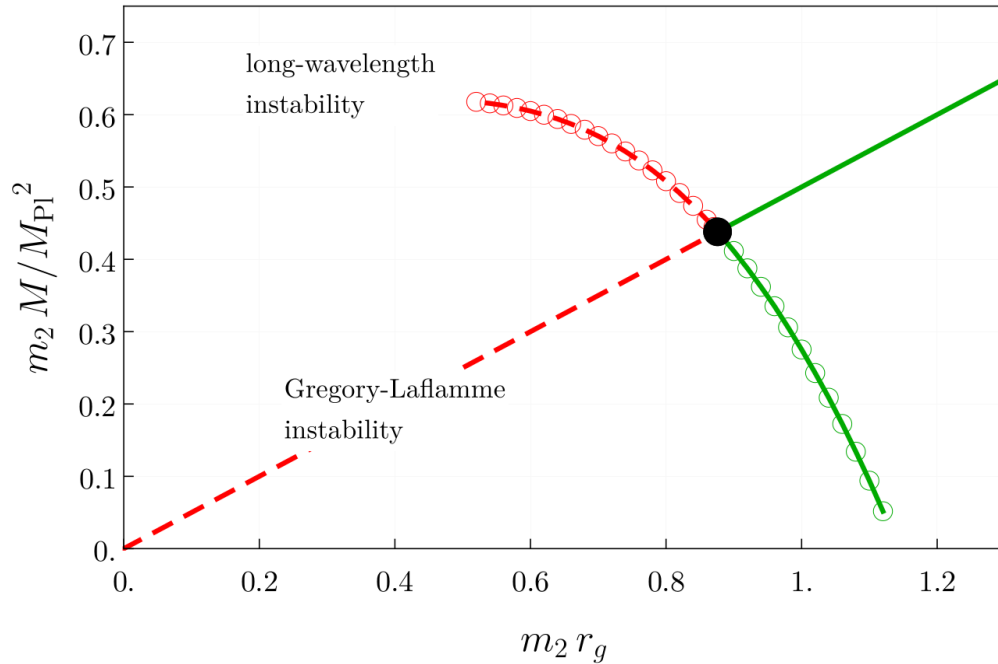
GR-background: Brito, Cardoso, Pani '13
non-GR: **Held**, Zhang, PRD 107 (2023) 6

Boundary conditions:

- purely ingoing waves at the horizon
- outgoing waves at asymptotic infinity define QNMs
- ingoing waves at asymptotic infinity define bound states
- positive imaginary part signals instability
- negative imaginary part signals stability

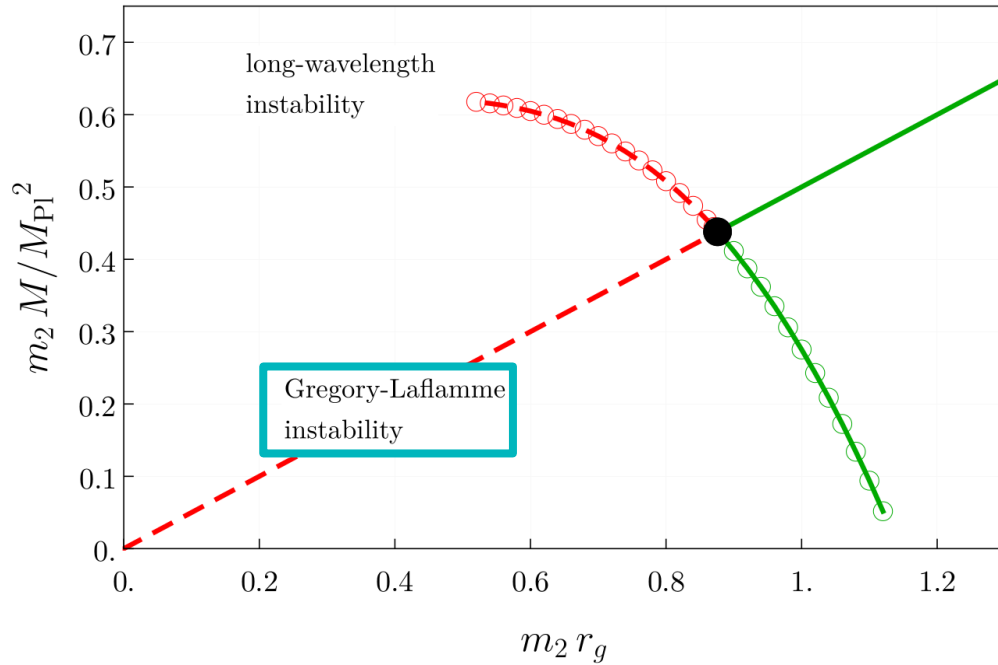
Linear stability of BHs in Quadratic Gravity

Held, Zhang, PRD 107 (2023) 6



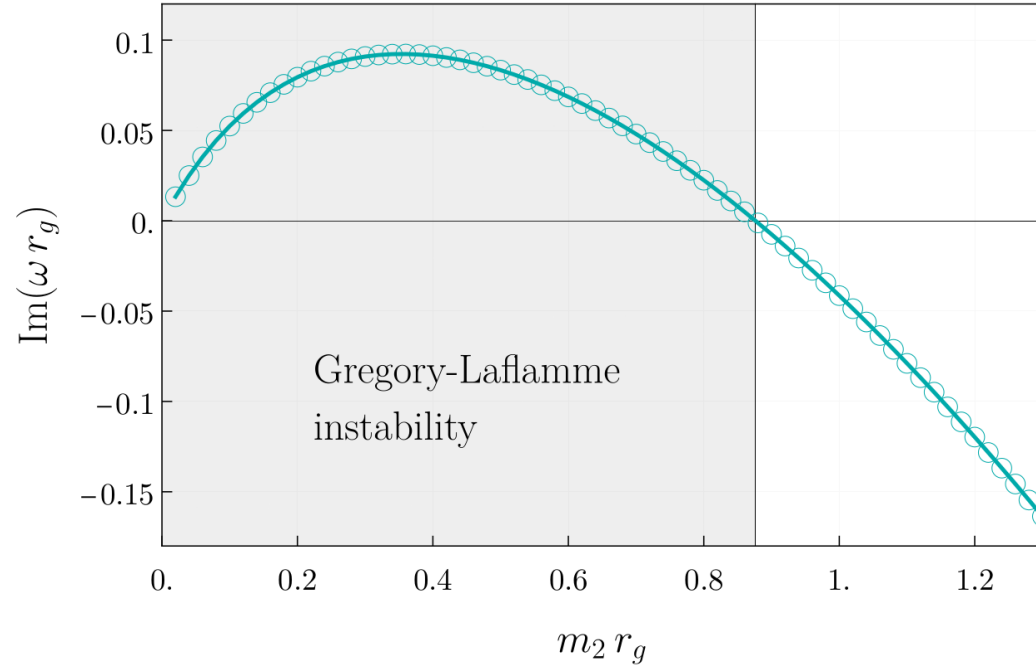
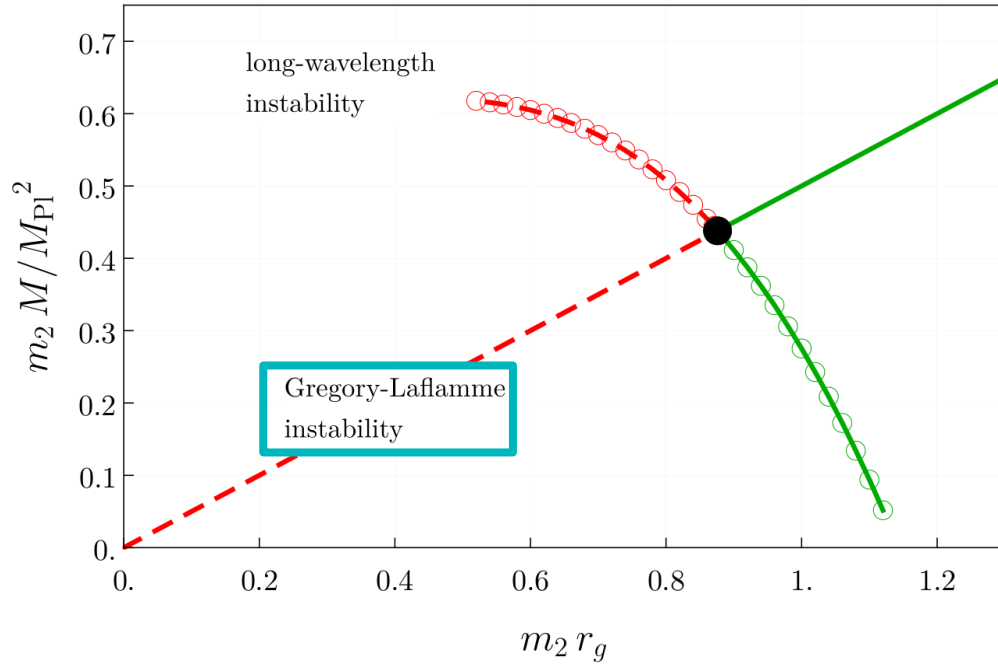
Linear stability of BHs in Quadratic Gravity

Held, Zhang, PRD 107 (2023) 6



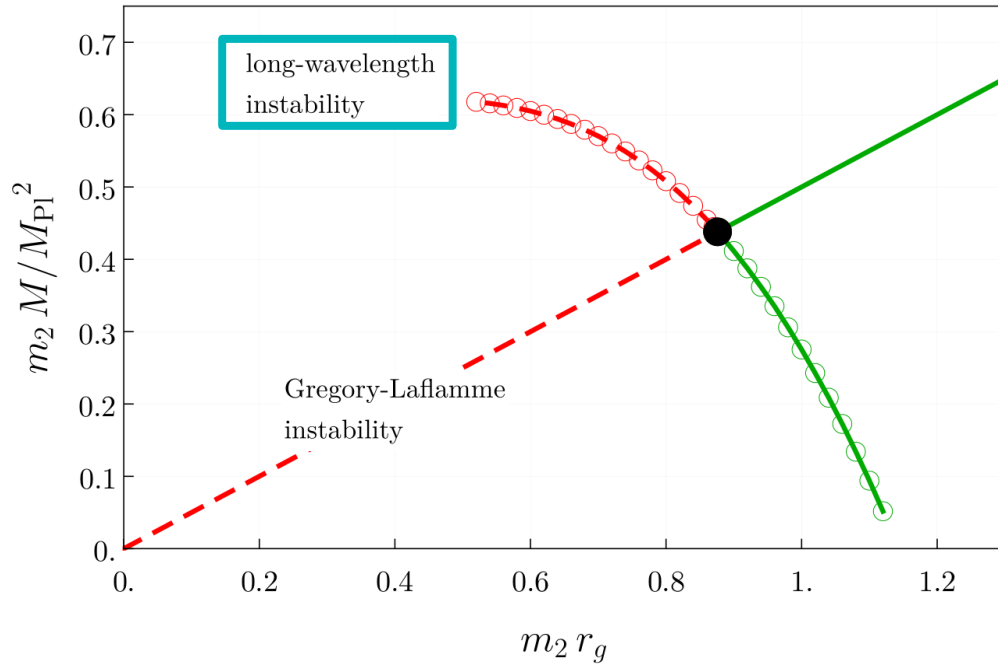
Linear stability of BHs in Quadratic Gravity

Held, Zhang, PRD 107 (2023) 6



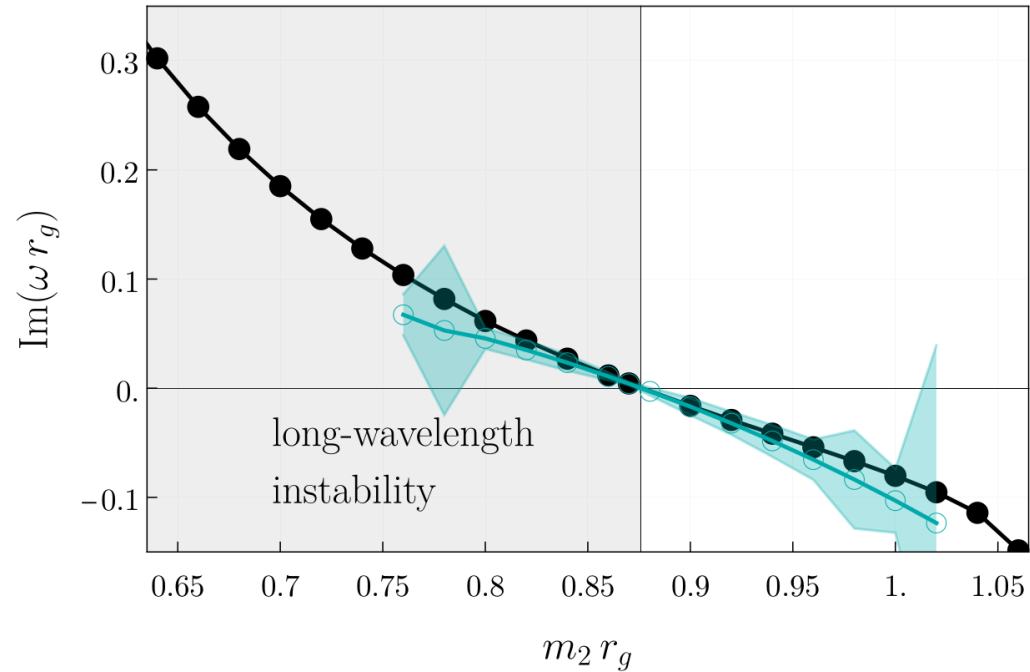
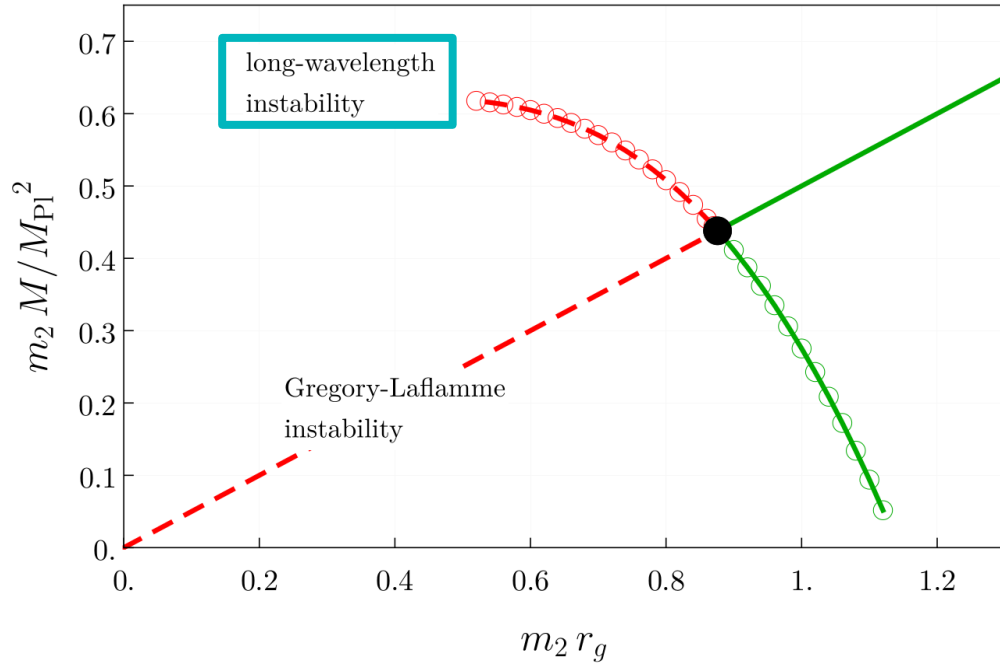
Linear stability of BHs in Quadratic Gravity

Held, Zhang, PRD 107 (2023) 6



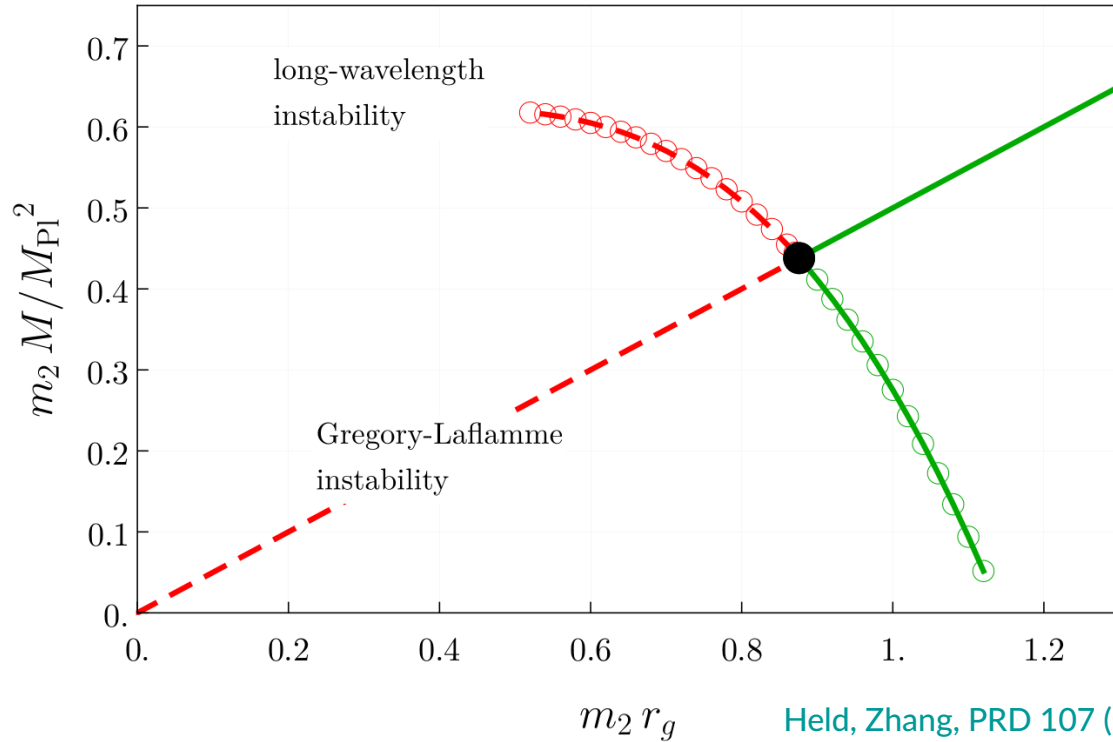
Linear stability of BHs in Quadratic Gravity

Held, Zhang, PRD 107 (2023) 6



Linear stability: Spherically-symmetric BHs

Held, Zhang, PRD 107 (2023) 6



Held, Zhang, PRD 107 (2023) 6

➤ **Black-hole uniqueness is broken**
(in the fundamental theory)

Svarc et. Al, 2209.15089

➤ **Large BHs are stable**

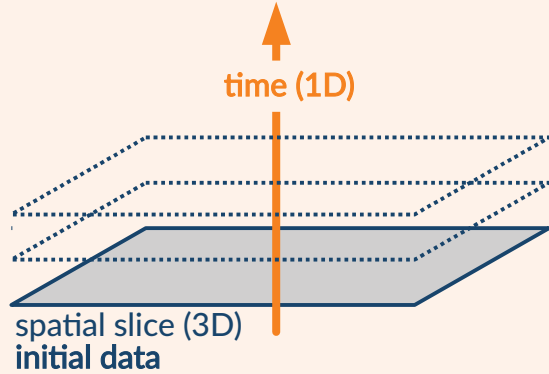
➤ **Small BHs are unstable**

Part III: Nonlinear dynamics in Quadratic Gravity

Held, Lim, PRD 104 (2021) 8

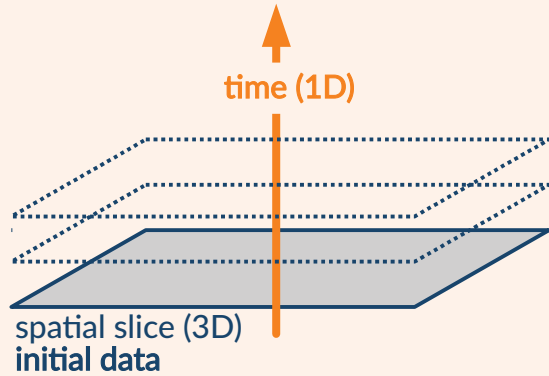
Held, Lim, 2306.04725

A well-posed initial value problem (IVP) ...



- “ An initial value problem is well-posed if a solution “
- **exists for all future time**
 - **is unique**
 - and depends **continuously** on the initial data

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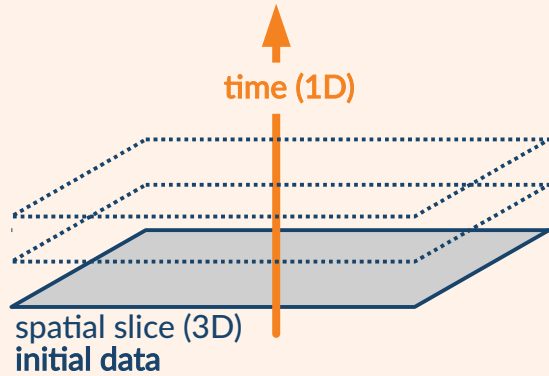
... for General Relativity

Formal proof of existence and uniqueness
Yvonne Choquet-Bruhat '52



(3+1) numerical evolution
Frans Pretorius '05
Baumgarte, Shapiro, Shibata, Nakamura '87-'99
Sarbach et al '02-'04

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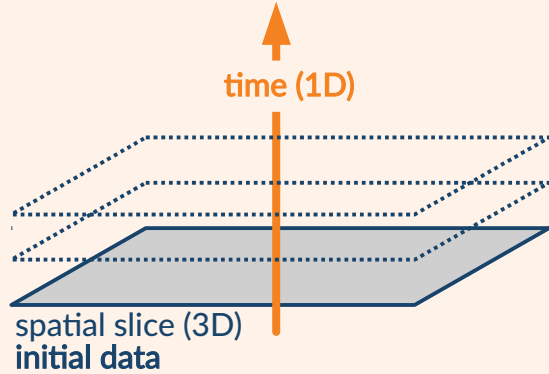
(3+1) numerical evolution
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Sarbach et al '02-'04

... and for Quadratic Gravity

Formal proof of existence and uniqueness
Noakes '83

spherical symmetry: Held, Lim, PRD 104 (2021) 8
(3+1): Held, Lim, 2306.04725

A well-posed initial value problem (IVP) ...



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... and for Quadratic Gravity

Formal proof of existence and uniqueness
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spherical symmetry: Held, Lim, PRD 104 (2021) 8
(3+1): Held, Lim, 2306.04725
Cayuso, 2307.15163
East, Siemonsen, 2309.05096

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
order
variables

$$\square R_{ab}(\square g) = \tilde{\mathcal{R}}_{ab} + \frac{1}{4}g_{ab}\mathcal{R} \equiv \tilde{T}_{ab}$$

$$\square \mathcal{R} = m_0^2 \mathcal{R} + 2T^c_c$$

$$\square \tilde{\mathcal{R}}_{ab} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) (\nabla_a \nabla_b \mathcal{R}) - 2\tilde{\mathcal{R}}^{cd} C_{acbd} + \mathcal{O}_{\text{lower order}}$$

massless spin-2
(graviton)

massive spin-0
(scalar)

massive spin-2
(ghost)

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
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massless spin-2
(graviton)

massive spin-0
(scalar)

massive spin-2
(ghost)

1st-
order
variables

$$\tilde{V}_{ab} \equiv -n^c \nabla_c \tilde{\mathcal{R}}_{ab}$$

$$\hat{\mathcal{R}} \equiv -n^c \nabla_c \mathcal{R}$$

2nd order
quasilinear
diagonal

+ constraints
(in harmonic gauge)

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
order
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quasilinear
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+ constraints
(in harmonic gauge)

Leray's theorem guarantees
well-posed IVP
for \mathcal{C}^∞ initial data

Leray '53
Choquet-Bruhat & DeWitt-Morette '77

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
order
variables

$$\square_{\text{ab}}(\square g) = \tilde{\mathcal{R}}_{\text{ab}} + \frac{1}{4} g_{\text{ab}} \mathcal{R} \equiv \tilde{\mathcal{T}}_{\text{ab}}$$

massless spin-2
(graviton)

$$\square \mathcal{R} = m_0^2 \mathcal{R} + 2T^c_c$$

massive spin-0
(scalar)

$$\square \tilde{\mathcal{R}}_{\text{ab}} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) (\nabla_a \nabla_b \mathcal{R}) - 2\tilde{\mathcal{R}}^{\text{cd}} C_{\text{acbd}} + \mathcal{O}_{\text{lower order}}$$

massive spin-2
(ghost)

1st-
order
variables

$$\tilde{\mathcal{V}}_{\text{ab}} \equiv -n^c \nabla_c \tilde{\mathcal{R}}_{\text{ab}}$$

(3+1)
decomposition
 $g_{\text{ab}} = \gamma_{\text{ab}} + n_a n_b$

$$\hat{\mathcal{R}} \equiv -n^c \nabla_c \mathcal{R}$$

$$\tilde{\mathcal{R}}_{\text{ab}} = \mathcal{A}_{\text{ab}} + \frac{1}{3} \gamma_{\text{ab}} \mathcal{A} - 2 n_{(a} \mathcal{C}_{b)} + n_a n_b \mathcal{A}$$

$$\tilde{\mathcal{V}}_{\text{ab}} = \mathcal{B}_{\text{ab}} + \frac{1}{3} \gamma_{\text{ab}} \mathcal{B} - 2 n_{(a} \mathcal{E}_{b)} + n_a n_b \mathcal{B}$$

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
order
variables

massless spin-2 (ADM / BSSN)

$$R_{ab}(\square g) = \tilde{\mathcal{R}}_{ab} + \frac{1}{4} g_{ab} \mathcal{R} \equiv \tilde{\mathcal{T}}_{ab}$$

$$\square \mathcal{R} = m_0^2 \mathcal{R} + 2T^c_c$$

$$\square \tilde{\mathcal{R}}_{ab} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) (\nabla_a \nabla_b \mathcal{R}) - 2\tilde{\mathcal{R}}^{cd} C_{acbd} + \mathcal{O}_{\text{lower order}}$$

massless spin-2
(graviton)

massive spin-0
(scalar)

massive spin-2
(ghost)

1st-
order
variables

$$\tilde{V}_{ab} \equiv -n^c \nabla_c \tilde{\mathcal{R}}_{ab}$$

$$\hat{\mathcal{R}} \equiv -n^c \nabla_c \mathcal{R}$$

(3+1)
decomposition
 $g_{ab} = \gamma_{ab} + n_a n_b$

$$\tilde{\mathcal{R}}_{ab} = \mathcal{A}_{ab} + \frac{1}{3} \gamma_{ab} \mathcal{A} - 2 n_{(a} \mathcal{C}_{b)} + n_a n_b \mathcal{A}$$

$$\tilde{V}_{ab} = \mathcal{B}_{ab} + \frac{1}{3} \gamma_{ab} \mathcal{B} - 2 n_{(a} \mathcal{E}_{b)} + n_a n_b \mathcal{B}$$

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
order
variables

$$R_{ab}(\square g) = \tilde{\mathcal{R}}_{ab} + \frac{1}{4} g_{ab} \mathcal{R} \equiv \tilde{\mathcal{T}}_{ab}$$

massless spin-2
(graviton)

$$\square \mathcal{R} = m_0^2 \mathcal{R} + 2T^c_c$$

spin-0

massive spin-0
(scalar)

$$\square \tilde{\mathcal{R}}_{ab} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) (\nabla_a \nabla_b \mathcal{R}) - 2\tilde{\mathcal{R}}^{cd} C_{acbd} + \mathcal{O}_{\text{lower order}}$$

massive spin-2
(ghost)

1st-
order
variables

$$\tilde{V}_{ab} \equiv -n^c \nabla_c \tilde{\mathcal{R}}_{ab}$$

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Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

2nd-
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massless spin-2
(graviton)

$$\square \mathcal{R} = m_0^2 \mathcal{R} + 2T^c_c$$

massive spin-0
(scalar)

massive spin-2

$$\square \tilde{\mathcal{R}}_{ab} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) (\nabla_a \nabla_b \mathcal{R}) - 2\tilde{\mathcal{R}}^{cd} C_{acbd} + \mathcal{O}_{\text{lower order}}$$

massive spin-2
(ghost)

1st-
order
variables

$$\tilde{V}_{ab} \equiv -n^c \nabla_c \tilde{\mathcal{R}}_{ab}$$

(3+1)
decomposition
 $g_{ab} = \gamma_{ab} + n_a n_b$

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$$\tilde{\mathcal{R}}_{ab} = \mathcal{A}_{ab} + \frac{1}{3} \gamma_{ab} \mathcal{A} - 2 n_{(a} \mathcal{C}_{b)} + n_a n_b \mathcal{A}$$

massive spin-2

$$\tilde{V}_{ab} = \mathcal{B}_{ab} + \frac{1}{3} \gamma_{ab} \mathcal{B} - 2 n_{(a} \mathcal{E}_{b)} + n_a n_b \mathcal{B}$$

Well-posed evolution in Quadratic Gravity

Noakes, JMP 24, 1846 (1983)
Held, Lim 2306.04725

$$(n^c \nabla_c \gamma_{ij}) = -2 D_{(i} n_{j)} + \mathcal{O}_{ij}$$

massless spin-2

$$(n^c \nabla_c K_{ij}) = -(n^c \nabla_c n_i)(n^c \nabla_c n_j) - 2 D_{(i} n^c \nabla_c n_{j)} - 2 K_{m(i} D_{j)} n^m + {}^{(3)}R_{ij} + \mathcal{O}_{ij}$$

$$n^a \nabla_a \mathcal{R} = \mathcal{O}$$

massive spin-0

$$n^a \nabla_a \hat{\mathcal{R}} = -D_i D^i \mathcal{R} + \mathcal{O}$$

$$0 = D_j K_i^j - D_i K + \mathcal{C}_i$$

constraints

$$0 = {}^{(3)}R - K_{ij} K^{ij} + K^2 - \frac{1}{2} \mathcal{R}$$

$$\mathcal{E}_a = -K_a^b \mathcal{C}_b - K \mathcal{C}_a - D^b \mathcal{A}_{ab} - \frac{1}{3} D_a \mathcal{A} + \frac{1}{4} D_a \mathcal{R}$$

$$\hat{\mathcal{R}} = -4 D^b \mathcal{C}_b$$

$$n^c \nabla_c \mathcal{C}_i = -\mathcal{E}_i + \mathcal{O}_i$$

constraint evolution

$$n^c \nabla_c \mathcal{E}_i = \dots$$

$$n^c \nabla_c \mathcal{A} = \mathcal{O}$$

massive spin-2

$$n^c \nabla_c \mathcal{A}_{ij} = \frac{2}{3} \mathcal{A} D_{(i} n_{j)} + \mathcal{O}_{ij}$$

$$n^c \nabla_c \mathcal{B} = +2 \left(\mathcal{A}^{ij} + \frac{1}{3} \gamma^{ij} \mathcal{A} \right) {}^{(3)}R_{ij} - \frac{1}{3} \left(\frac{m_2^2}{m_0^2} + 1 \right) D_i D^i \mathcal{R} - D_i D^i \mathcal{A} + 2 a^k \mathcal{E}_k - a_i D^i \mathcal{A} + 4 \mathcal{C}^j (D^i K_{ij} - D_j K) + \mathcal{O}$$

$$n^c \nabla_c \mathcal{B}_{ij} = +2 \left(\mathcal{A}^{kl} + \frac{1}{3} \gamma^{kl} \mathcal{A} \right) {}^{(3)}R_{ikjl} - \frac{1}{3} \left(\frac{m_2^2}{m_0^2} + 1 \right) D_i D_j \mathcal{R} - (D_k D^k + a_k D^k) \left(\mathcal{A}_{ij} + \frac{1}{3} \gamma_{ij} \mathcal{A} \right) + \frac{2}{3} \mathcal{B} D_{(i} n_{j)} + 2 a^c \gamma_{c(i} \mathcal{E}_{j)} - \frac{1}{3} \gamma_{ij} (n^c \nabla_c \mathcal{B}) + 4 \mathcal{C}^k (D_{[i} K_{k]j} + D_{[j} K_{k]i}) + \mathcal{O}_{ij}$$

- massive spin-0/spin-2 do not impact the massless spin-2 principal part
- amenable to 1st-order strong-hyperbolicity analysis
Sarbach et al '02-'04 (for GR)

Numerical Evolution of Quadratic Gravity ...

Held, Lim, 2306.04725

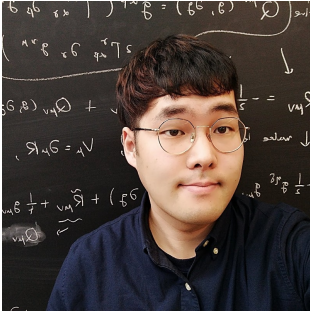
Dendro-GR [adapted]

- parallelized adaptive mesh refinement
- wavelet adaptive multiresolution
- 4th order finite differencing
- 4th order Runge-Kutta

Fernando et.Al. 2018

Numerical Evolution of Quadratic Gravity ...

Held, Lim, 2306.04725

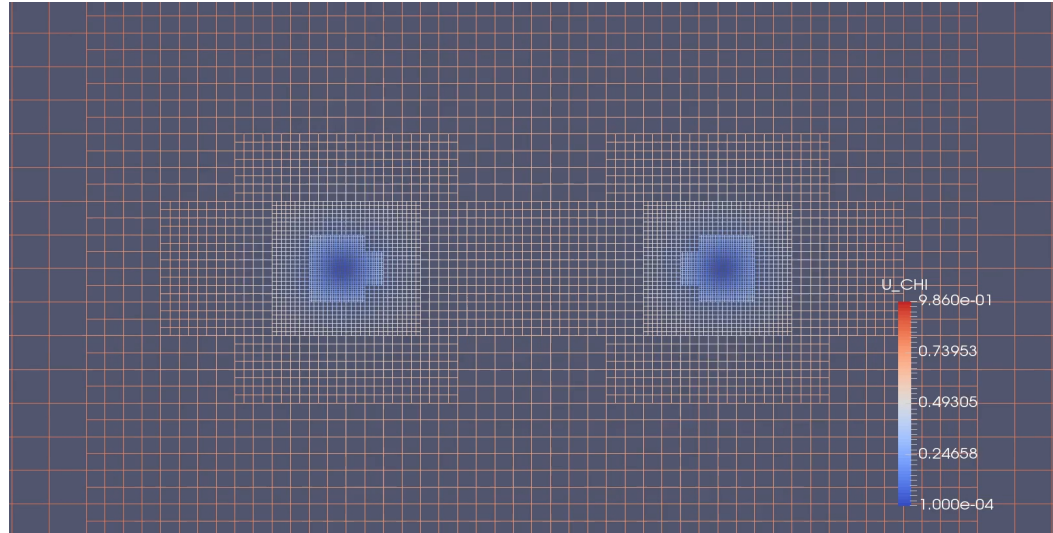


Hyun Lim
Los Alamos

Dendro-GR [adapted]

- parallelized adaptive mesh refinement
- wavelet adaptive multiresolution
- 4th order finite differencing
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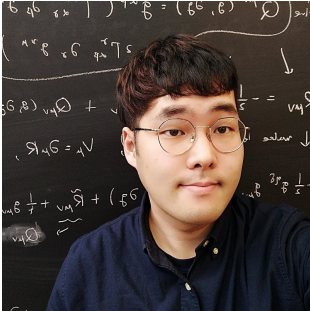
Fernando et.al. 2018



Dendro-GR (Fernando et.al. 2018),
<https://github.com/paralab/Dendro-GR>

Numerical Evolution of Quadratic Gravity ...

Held, Lim, 2306.04725

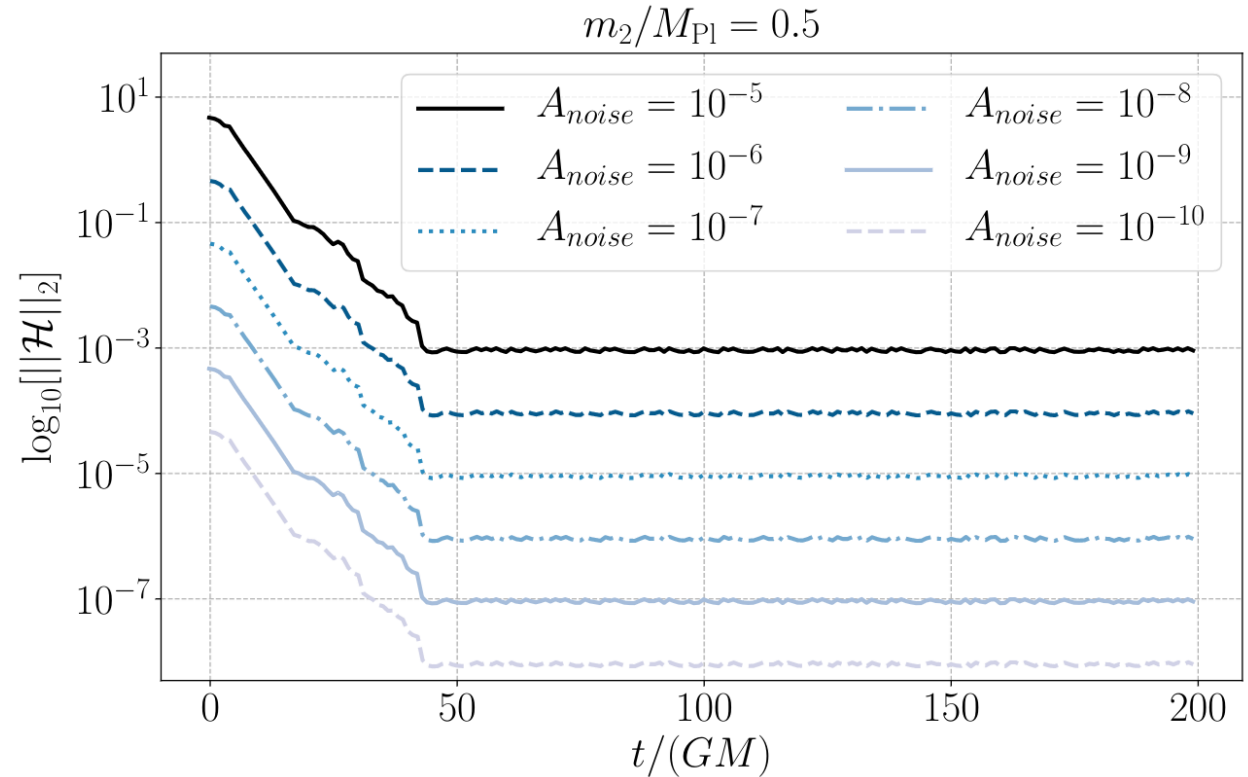


Hyun Lim
Los Alamos

Dendro-GR [adapted]

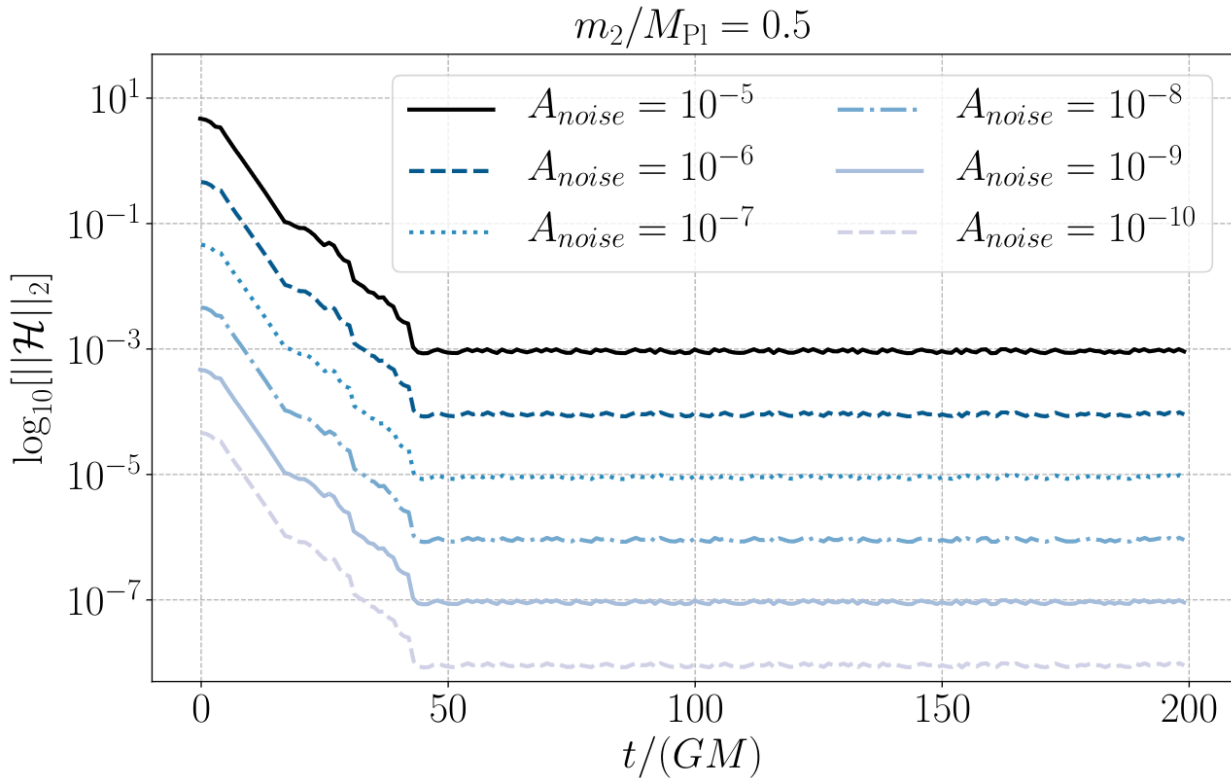
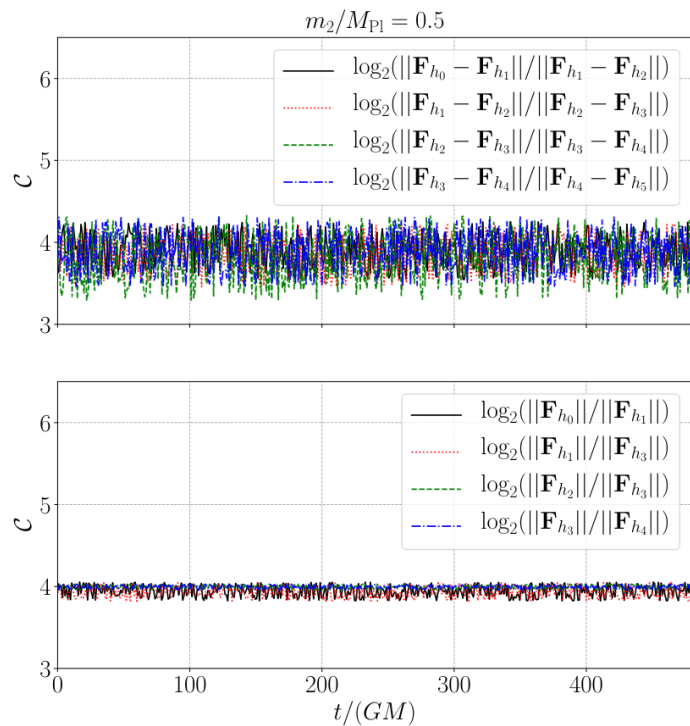
- parallelized adaptive mesh refinement
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Fernando et al. 2018



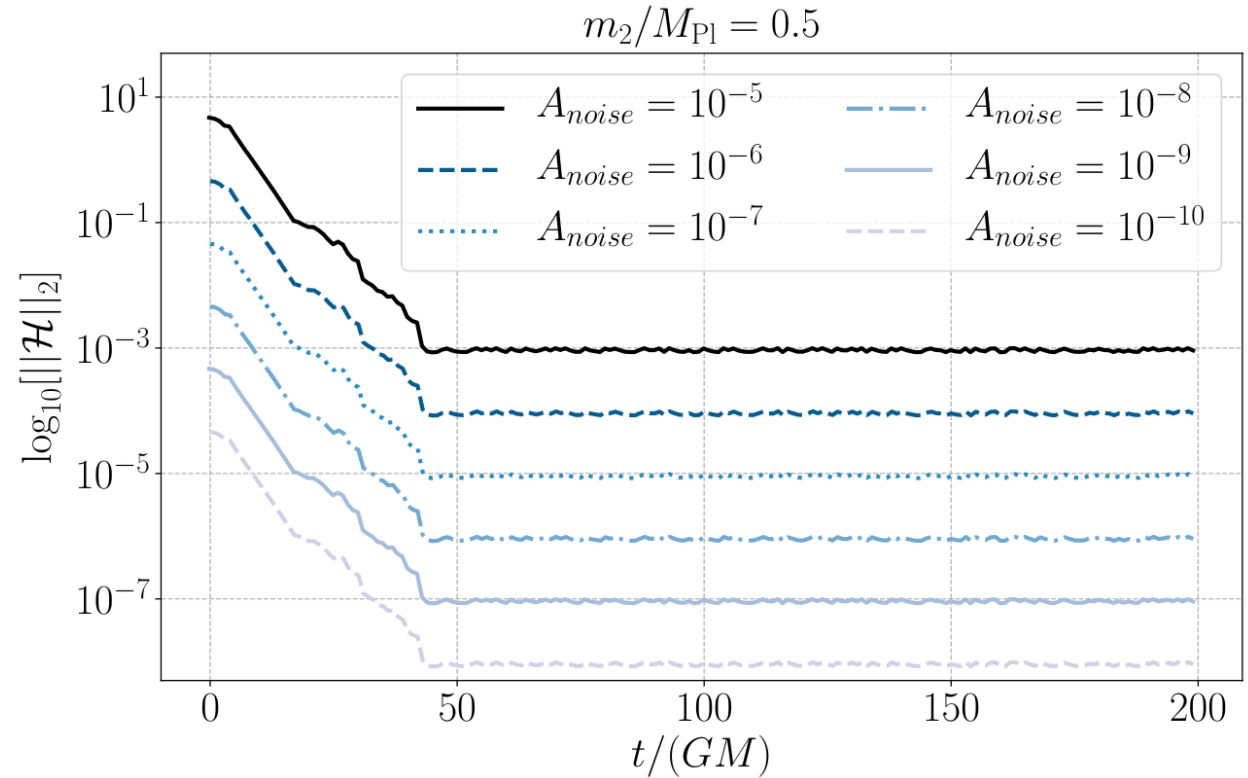
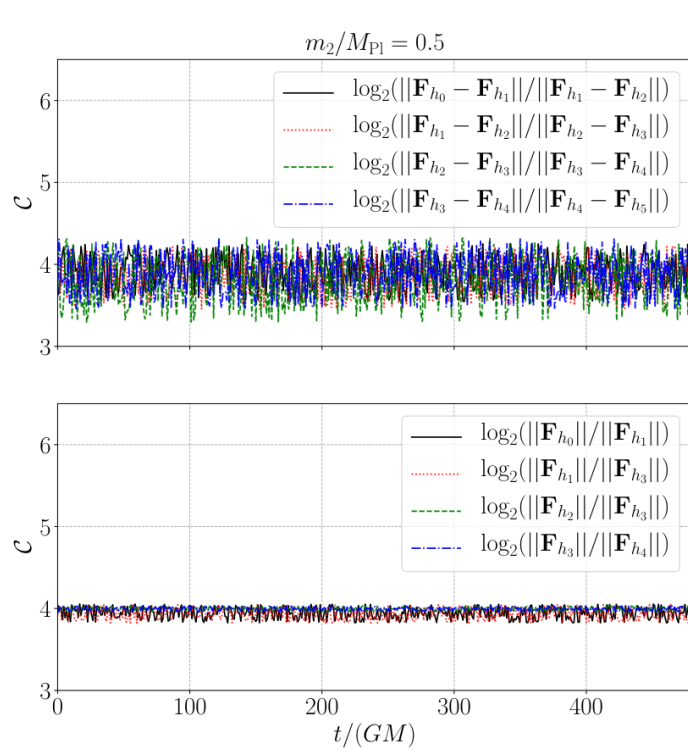
Numerical Evolution of Quadratic Gravity ...

Held, Lim, 2306.04725



Numerical Evolution of Quadratic Gravity ...

Held, Lim, 2306.04725



... is numerically stable.

Results (vacuum)

Held, Lim, 2306.04725

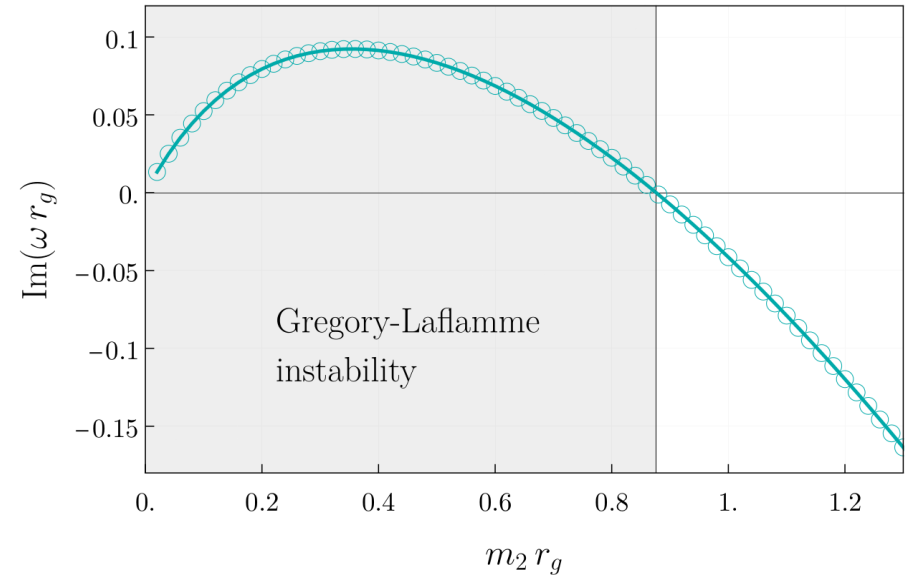
Recover the linear instability ...

Held, Lim, 2306.04725

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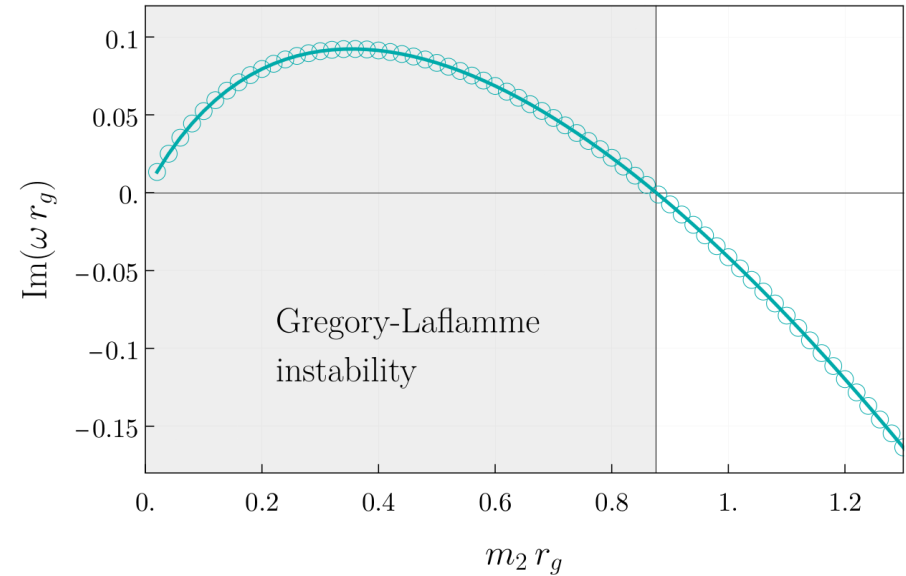
Held, Zhang, PRD 107 (2023) 6



Recover the linear instability ...

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Held, Zhang, PRD 107 (2023) 6



$$\frac{1}{4\pi} \frac{m_2}{M_{\text{PI}}} \frac{M}{M_{\text{PI}}} < 0.87$$

instability condition

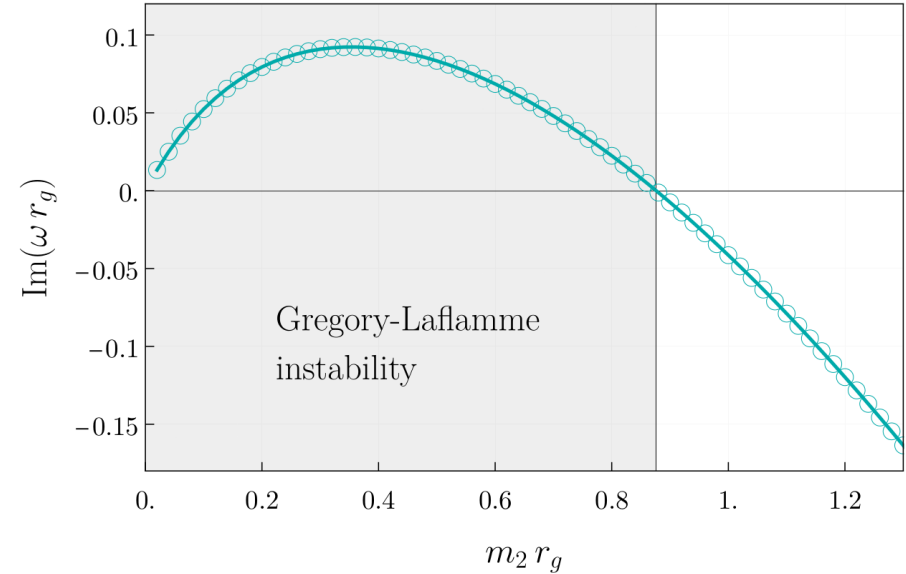
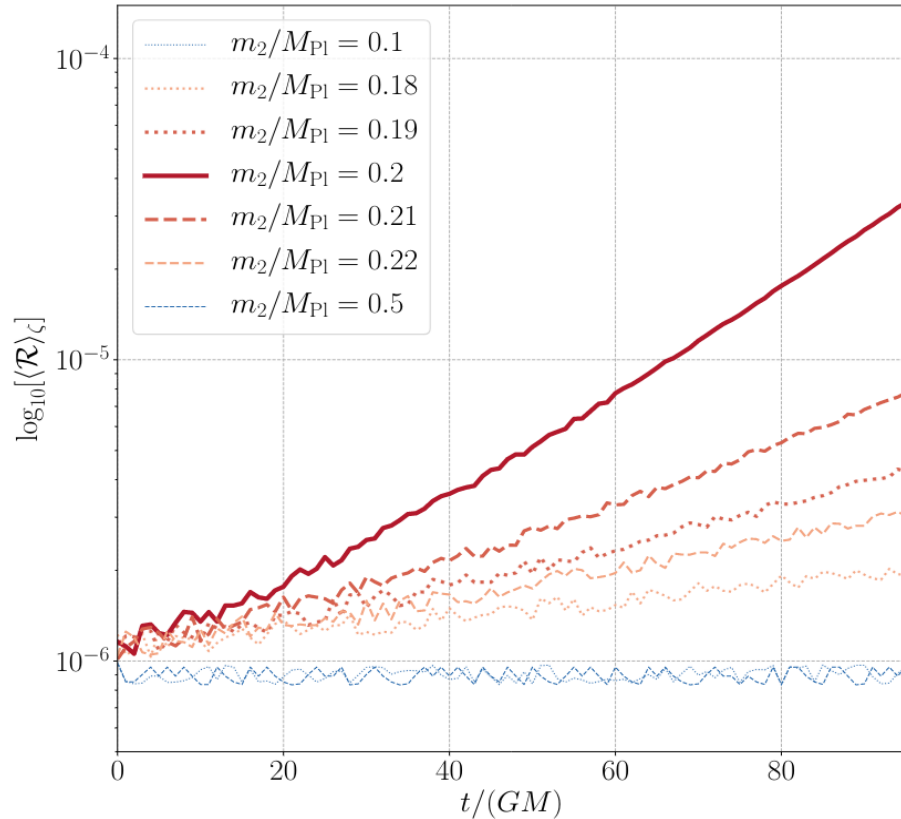
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growth rate

Recover the linear instability ...

Held, Lim, 2306.04725

Held, Zhang, PRD 107 (2023) 6



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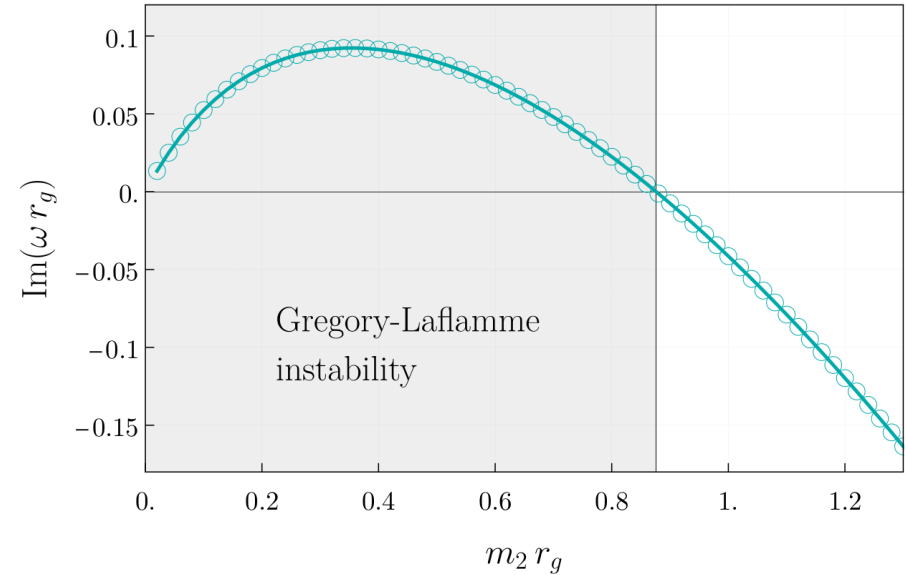
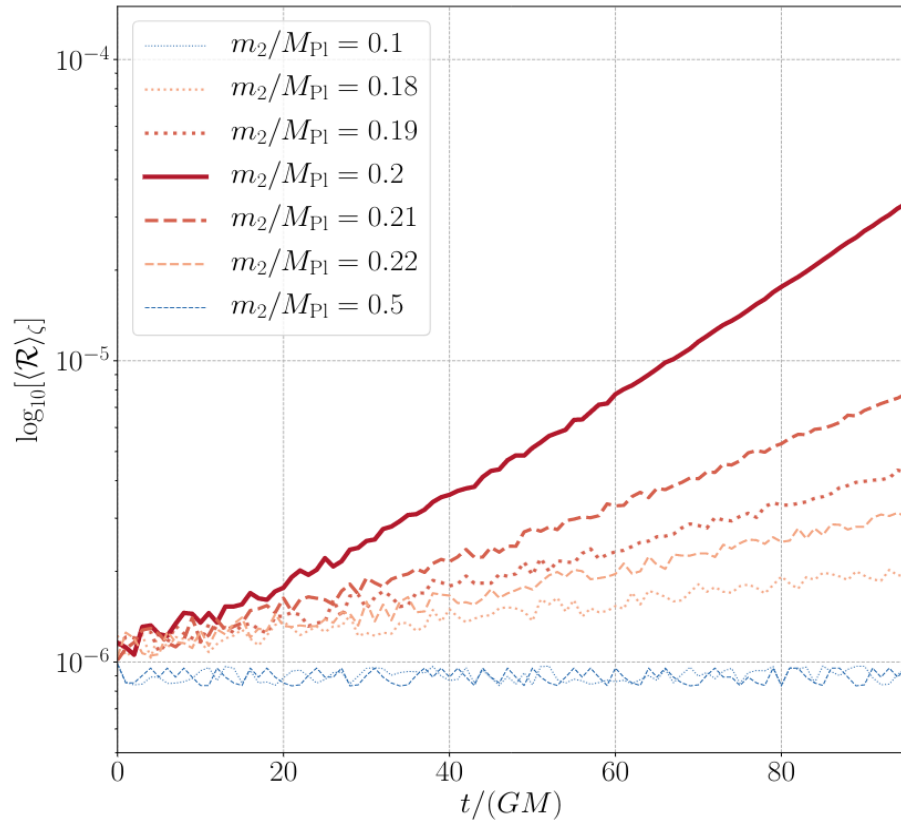
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Physical stability of the Ricci-flat subsector ...

Held, Lim, 2306.04725

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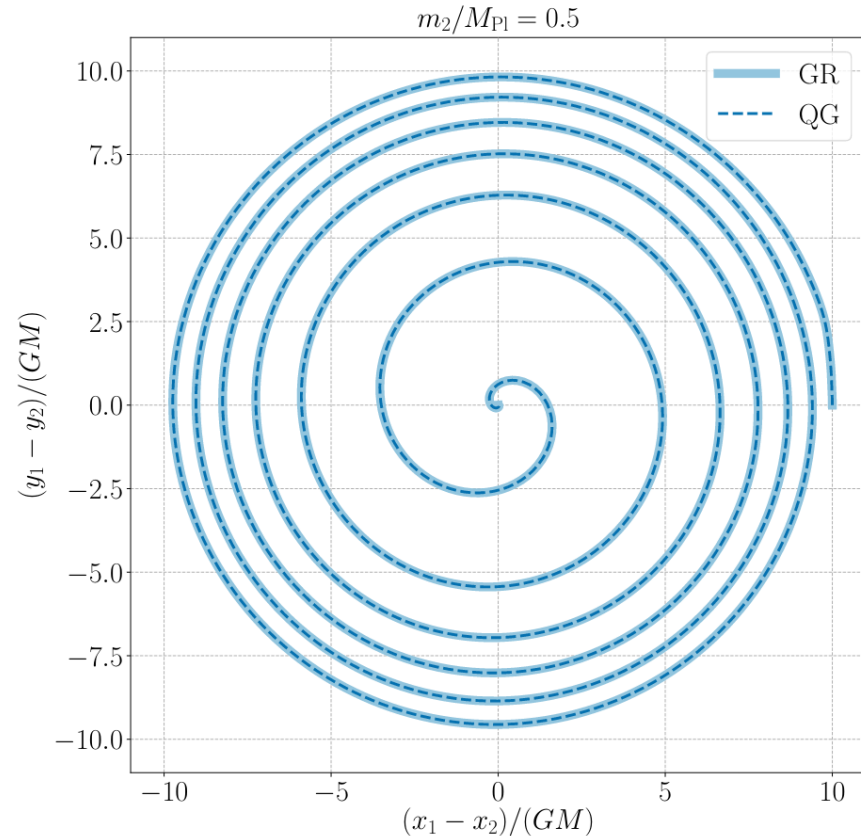
Held, Lim, 2306.04725

- apparent **stability** of a single black hole perturbed by **Teukolsky waves**

Physical stability of the Ricci-flat subsector ...

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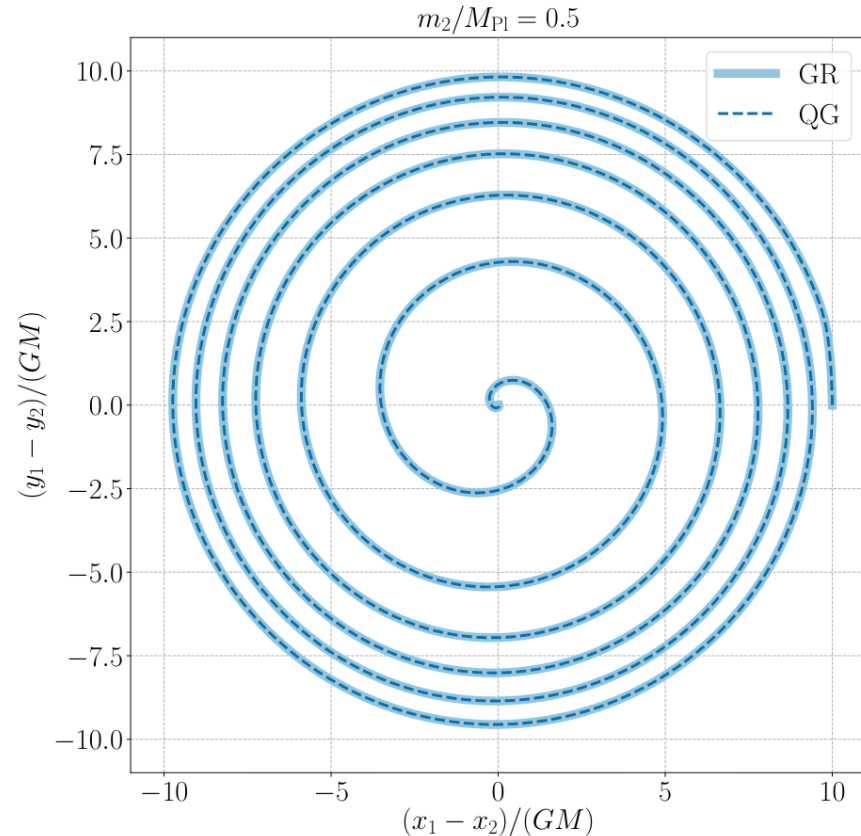


GW150914 initial data
[EinsteinToolkit]

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... suggests Quadratic Gravity can mimic vacuum GR.

Where to go next?

- Ricci-flat (GR vacuum) subsector
 - nonlinear endpoint of the linear instability

see also
Lehner, Pretorius, 1106.5184
Figueras et.Al., Phys.Rev.D 107 (2023) 4

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- **comparison**
 - with the fixing approach
 - on both sides of the field redefinition

see also
Lehner, Pretorius, 1106.5184
Figueras et.Al., Phys.Rev.D 107 (2023) 4

see also
Cayuso, 2307.15163

Cayuso, Lehner PRD 102 (2020)
Cayuso et.Al 2303.07246

... so what about ghosts?

Deffayet, Mukohyama, Vikman, PRL 128 (2022) 4
Deffayet, Held, Mukohyama, Vikman, 2305.09631

What about the Ostrogradski theorem?

Quadratic Gravity

$$- \frac{\mathcal{L}_{\text{quadratic}}}{\sqrt{-g}} = M_{\text{Pl}}^2 \left[\lambda + \frac{1}{2}R + \frac{1}{12m_0^2}R^2 + \frac{1}{4m_2^2}C_{abcd}C^{abcd} \right]$$

... propagates 2 + 1 + 5 DoF

What about the Ostrogradski theorem?

“All higher-derivative theories are unstable”

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Point-particle systems w/ opposite-sign kinetic terms ...

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“The **Hamiltonian** of all higher-derivative classical point-particle theories is **unbounded from above and below**”

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[french, thus not 100% sure that this is the actual content]

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Deffayet, Mukohyama, Vikman, PRL 128 (2022) 4

... can nevertheless be globally (Lagrange) stable.

Point-particle systems w/ opposite-sign kinetic terms ...

Integrable Liouville models ...

$$H_{LV} = \frac{p_x^2}{2} + \sigma \frac{p_y^2}{2} + V_{LV}(x, y)$$

$$V_{LV} = \frac{f(u) - g(v)}{u^2 - v^2}$$

$$u^2 = 1/2 \left(r^2 + c + \sqrt{(r^2 + c)^2 - 4cx^2} \right)$$

$$v^2 = 1/2 \left(r^2 + c - \sqrt{(r^2 + c)^2 - 4cx^2} \right)$$

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Deffayet, Mukohyama, Vikman, PRL 128 (2022) 4
Deffayet, Held, Mukohyama, Vikman, JCAP, to appear

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... are Lagrange stable if ...

(i) ... $f(u)$ and $g(v)$ are bounded below, i.e.,
 $f(u) \geq f_0 \quad \& \quad g(v) \geq g_0$

(ii) ... at large $|u|$ and $|v|$, these bounds sharpen to
 $f(u) \geq 4F_0 |u|^\zeta > 0 \quad \& \quad g(v) \geq 4G_0 |v|^\eta > 0$

with $f_0, g_0 \in \mathbb{R}$, $F_0, G_0 \in \mathbb{R}^+$, $\zeta > 2$, $\eta > 2$

Deffayet, Mukohyama, Vikman, PRL 128 (2022) 4
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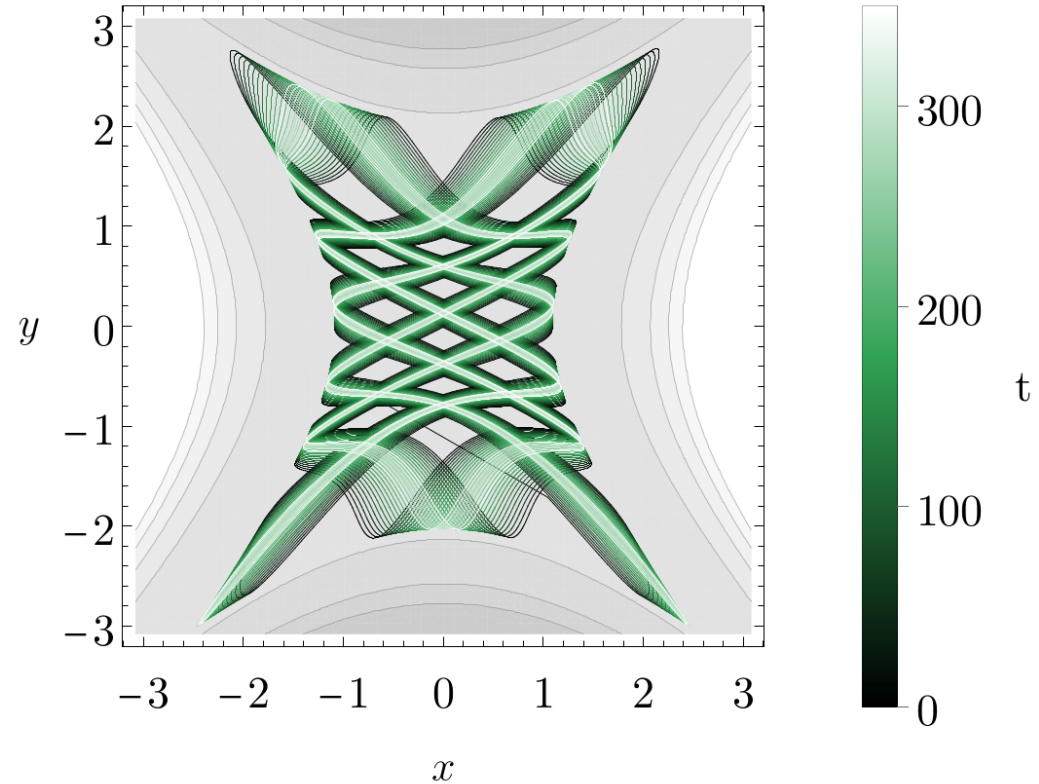
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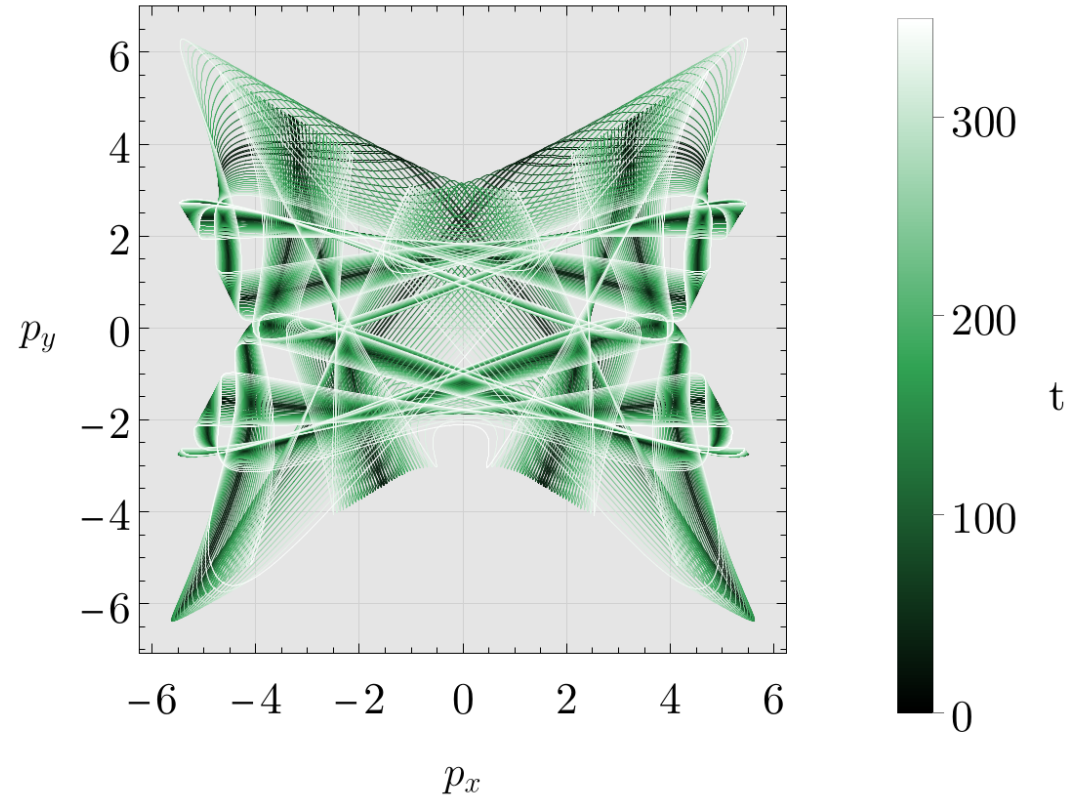
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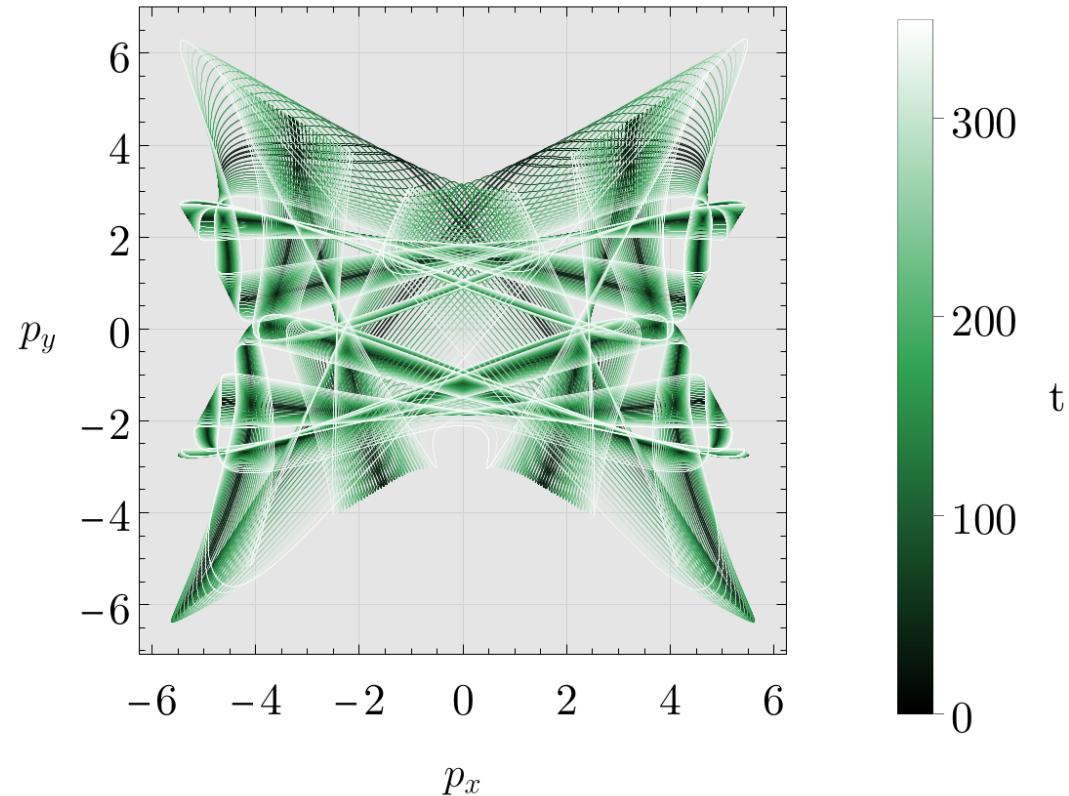
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Deffayet, Held, Mukohyama, Vikman, JCAP, to appear



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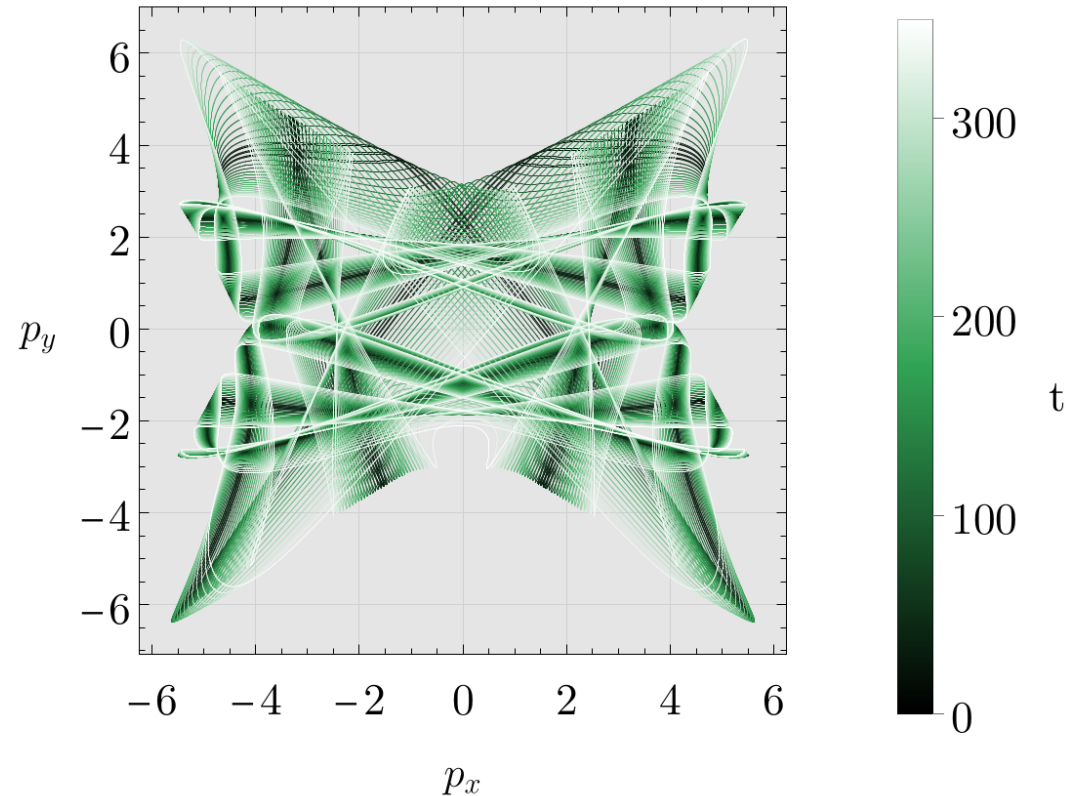
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Deffayet, Held, Mukohyama, Vikman, JCAP, to appear



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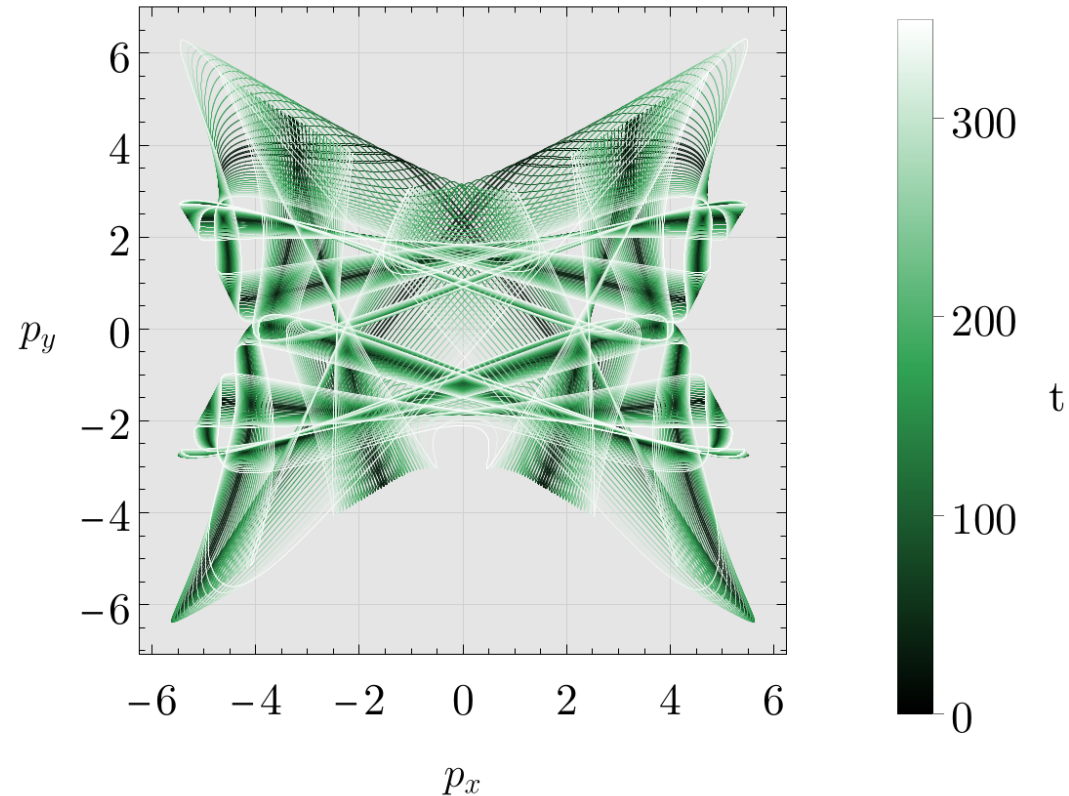
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